

GENERAL INSTRUCTIONS

1. This Application Form shall be used to register financing company under Republic Act Number 8556 (The Financing Company Act of 1998).
2. This application shall be signed under oath by the registrant's President
3. Five (5) copies of the complete application shall be filed, including exhibits and all other papers and documents filed as part thereof.

APPLICATION OF:

Name of Corporation	Complete Address
	Telephone Number

For a Certificate of Authority to Operate as a Financing Company under the Provisions of Republic Act Number 8556, The Financing Company Act of 1998

Date

**SECURITIES AND EXCHANGE COMMISSION
BDSA, Greenhills, Mandaluyong City
Metro-Manila**

Gentlemen:

Pursuant to the Rules and Regulations to Implement the Provisions of Republic Act Number 8556 (The Financing Company Act of 1998), May 20, 1999, we hereby apply for an authority to operate as a financing company.

In support of this application, we are submitting herewith the following required papers/information to form integral parts thereof:

1. All documents required for registration as a corporation;
2. Information Sheet of registrant company;
3. Personal Information Sheet of each of the directors, officers with the rank of Vice-President and up or their equivalent or managing partners;
4. Answer to the questionnaire of the Commission;
5. Documents required of each Filipino directors, officer to be appointed from the rank of Vice-President and up or their equivalent, such as the following:
 - (i) Police Clearance from local police of the city or municipality of which he is a resident;
 - (ii) NBI Clearance;
 - (iii) Certificate of good moral character to be executed under oath by at least two (2) reputable and disinterested persons in the community; and

(iv) Bank credit information to be issued by his depository or creditor bank(s), if any;

(v) For foreign director or officer, if any, in lieu of Item (ii) and (iii) shall submit:

a) A clearance from the Bureau of Immigration and Deportation;

b) Photocopies of passport; and,

c) Alien Certificate of Registration (ACR)

6. Clearance from the Bangko Sentral ng Pilipinas (BSP), if the applicant financing company is a subsidiary or affiliate of a bank and/or non-bank financial institution with quasi-banking license.

7. Organizational chart.

Name of Financing Company

By:

Signature of the President over Printed Name

REPUBLIC OF THE PHILIPPINES)
IN THE CITY OF _____) S.S.

SUBSCRIBED AND SWORN TO before me this _____ day of _____, _____, the
above affiant exhibiting to me his/her Community Tax Certificate Number _____ issued at
_____ on _____.

NOTARY PUBLIC

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Series of _____

FOR SEC USE ONLY:
FILING FEE
UPLR FUND
TOTAL

P _____
P _____
P _____

Approved for payment:

Printed Name & Signature

Position

Date

INFORMATION SHEET

I. Organizational Profile:

1. Form of Organization _____
2. Date of Registered/Recorded _____
3. Date of Approval of By-Laws _____
4. Term of Existence _____
5. End of Fiscal Year _____
6. Date of Annual Stockholder's Meeting _____
7. Type of Financing Company Activity to be Undertaken _____

Percentage

- Discounting _____
- Factoring _____
- Financial Lease _____
- Others (Specify) _____

8. Particular type of credit service the financing company will extent:

- | | | | |
|---|---------------|---|----------------|
| <p>1) Consumer Credit:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Appliance Financing _____ <input type="checkbox"/> Automotive Financing _____ <input type="checkbox"/> Pay-Later Travel Plans _____ <p>2) Commercial Credit</p> <ul style="list-style-type: none"> <input type="checkbox"/> Installment Sale Financing _____ <input type="checkbox"/> Receivable Financing _____ <input type="checkbox"/> Inventory Financing _____ <input type="checkbox"/> Promissory Notes Discounting _____ | <u>%-tage</u> | <p>3) Industrial Credit/Leasing of:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Heavy Equipment _____ <input type="checkbox"/> Motor Vehicle _____ <input type="checkbox"/> Industrial Machinery _____ <input type="checkbox"/> Appliances _____ <input type="checkbox"/> Business & Office Machines _____ <input type="checkbox"/> Movable Property _____ | <u>%- tage</u> |
|---|---------------|---|----------------|

9. Other business activities to be undertaken:

Percentage

- Funds Management _____
- Direct Lending _____
- Other (Specify) _____

10. TIN _____
 12. Auditor: _____

11. SSS Number _____
 13. Legal Counsel _____

- 14. Organizational Structure:**
- a) Attach Organizational Chart & Functions
 - b) Personnel Compliment

Position	No. of Employees	Function/Responsibility

15. Capital Structure:

a) Authorized Capital Stock

Classes of Shares	No. of Shares	Par/Stated Value	Aggregate Value

b) Subscribed Capital Stock

Classes of Shares	No. of Shares	Amount

c) Paid-Up Capital Stock

Classes of Shares	No. of Shares	Amount

d) Citizenship of Stockholders

Nationality	No. of Stockholders	Amount of Holding	Percentage

16. Enumerate the Stockholders of the Registrant

Name	Citizenship	Subscribed Capital Stock		Amount Paid-in	Percentage
		No. of Share	Amount		

17. Members of Board of Directors (Attach FC-R-85-2)

Name	Address	Position	Birth		Specimen Signatures
			Date	Place	

18. Officers (Attach FC-R-85-2)

Name	Address	Position	Birth		Specimen Signatures
			Date	Place	

19. Branches/Extension Offices

City/Municipality	Class	Address	Amount of Investment	
			Types of Shares	Amount

20. Parent Company

Name of Entity	Nature of Business	Type of Sharing Owned	Amount

21. Subsidiaries

Name of Entity	Nature of Business	Type of Sharing Owned	Amount

22. Affiliates

Name of Entity	Nature of Business	Type of Sharing Owned	Amount

23. Interlocking Directors/Officers

Name of Entity	Nature of Business	Name of Common Director/Officer	Position

I hereby certify that all in the information set forth in the above report are true and correct of my own knowledge.

Signature of the President over Printed Name

REPUBLIC OF THE PHILIPPINES)
IN THE CITY OF _____) S.S.

SUBSCRIBED AND SWORN TO before me this _____ day of _____,
the above affiant exhibited to me his/her Community Tax Certificate Number _____, issued at
_____ ON _____.

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QUESTIONNAIRE

1. What are the company's corporate goals within the next three (3) years?
2. Present in brief the company's planned corporate and business strategies in order that the aforesaid goals may be accomplished.
3. Discuss the company's credit and collection policies.
4. What financial internal control procedures will the company adopt?
5. Present in brief the company's marketing program. Start with a description of the target market. Explain how the company would plan, price and promote and distribute the service.
6. How will the company finance additional operating capital requirements? Explain.
7. Apart from their basic remuneration, what other fringe benefits will the company grant to its officers, directors and employees?
8. What is your assessment of the over-all attractiveness of the financing company business? What factors largely influenced your over-all assessment? Please explain. (You may find the following factors relevant to your assessment: market size and growth, customer trends, level and intensity of competition).
9. What is your assessment of your own competitive strengths and weaknesses in this business relative to your major competitors? What factors largely influenced your over-all assessment? Please explain. (You may find the following factors relevant to your assessment: market position, cost situation, and service image).
10. Within the next three (3) years, does the company plan to open branches? If so, where?
11. Did you conduct any project or feasibility study in connection with the proposed financing company? Attach a copy of the company's feasibility study.

I hereby certify that all in the information set forth in the above report are true and correct of my own knowledge.

Signature of the President over Printed Name

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IN THE CITY OF _____) S.S.

SUBSCRIBED AND SWORN TO before me this _____ day of _____, _____, the above affiant exhibited to me his/her Community Tax Certificate Number _____, issued at _____ on _____.

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2" x 2"
Picture

Name of Corporation

PERSONAL INFORMATION SHEET

Name

Position

I hereby certify that all information contained in this document and its supporting schedule are true and correct of my own knowledge.

Date

Signature of Affiant

REPUBLIC OF THE PHILIPPINES)
IN THE CITY OF _____) S.S.

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the above affiant exhibiting to me his/her Community Tax Certificate Number _____
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I. PERSONAL

- 1. Name _____
- 2. Citizenship _____
- 3. Date of Birth _____
- 4. Place of Birth _____
- 5. Civil Status _____
- 6. Residence Address & Telephone Number
 - a) Present _____
 - b) Residence for the past 15 years _____
- 7. Business Address & Telephone Number _____
- 8. For Allen only
 - a) ACR Number: _____ Date Issued: _____
 - b) Passport Number: _____ Date Issued: _____

II. EDUCATION AND TRAINING

9. College or University Education only or highest educational attainment, if not a degree holder.

Degree/Course	School Last Attended	Year Graduated

10. Training in financial management and other related fields.

Nature/Title	Conducted by	Year Taken

III. WORK EXPERIENCE

11. Present Position – This Institution

Name of Office	Position	Date Assumed

12. Present Positions (including Corporated Directorships Other Private Institution)

Name of Office	Position	Indicate if Owner Partner or Stockholder

13. Present Position (including Directorship in Government Corporated Institutions – Government)

Name of Office	Position	Indicate if Elective or Appointive and Part Time or Full Time

14. Past Positions (Including Corporated Directorship Other Private Institution --Last 10 years)

Name of Office	Position	Duration	
		From	To

15. Past Positions - This Institution -Last 10 years

Name of Office	Position	Duration	
		From	To

16. Past Positions (Including Directorship in Government Financial Institution -Government Last 10 years)

Name of Office	Position	Duration	
		From	To

IV. FAMILY RELATION

INFORMATION REGARDING DOSRI*

17. Name of Spouse:

18. First Degree (Relatives of legal age only) by Consanguinity: Parents and Children

Name (Surname, Given, Middle)	Other Names Used	Relationship

19. First Degree (Relative of legal age only - by Affinity: Parents-in-Law and Children-in-Law

Name (Surname, Given, Middle)	Other Names Used	Relationship

20. Relatives by legal adoption:

Name (Surname, Given, Middle)	Other Names Used	Relationship

* Refer to Directors, Officers, Stockholders and Related Interest

21. Is your spouse an officer/director of corporation, association or firm other than this institution?

Yes

No

If yes, please state:

22. Have you ever been convicted, judicially or administratively of an offense or judicially declared insolvent, spendthrift, or incapacitated to contract?

Yes

No

If yes, please so state:

Particulars/Nature of Offense	Court of Jurisdiction	Date of Information Filed

23. Schedule of Business Establishments where the Director/Officer, Spouse and First Degree Relatives have Equity Interest, at year end _____.

Name of Corporation/ Association /Firm	Name of Pertinent Stockholder/ Member, General	Per cent Holding to Total Equity