



# SECURITIES AND EXCHANGE COMMISSION APPLICATION FOR REGISTRATION RENEWAL COMPLIANCE OFFICER (CO)

For the year \_\_\_\_\_

(To be accomplished in 4 copies with cover sheet;  
3 copies for SEC and 1 receiving copy for the applicant)

Last Name	First Name	Middle Name	TIN	
			Certificate of Registration No.	
Current Residential Address				
Name of Employer Firm				
Firm's Principal Business Address Street		City	Province	Postal Code
Branch ID#	Street	Office of Employment Address City	Province	Postal Code

The above-named employer hereby makes application for the renewal registration of the above-named individual and hereby certifies that the information contained in his/her most recent filing of SEC Form ICA-CO is true, correct, current, accurate and complete. All registered Compliance Officers are required to update SEC Form ICA-CO information by submitting amendments wherever information on file becomes inaccurate for any reason within seven (7) days of any change to the information previously filed.

Staple applicant's  
2x2 B&W ID picture  
(with signature and  
printed name at the  
back)

\_\_\_\_\_  
Printed Name/Signature of Company President

\_\_\_\_\_  
Printed Name / Signature of Applicant

\_\_\_\_\_  
Date

For SEC use only: Reference No. \_\_\_\_\_

1. Four (4) sets of duly accomplished SEC Form ICA-RCO for Compliance Officer with original signatures of the applicant, with Cover Sheet; [ ]
2. Two (2) pcs. current 2x2 B&W ID picture with the signature and printed name of the applicant affixed on the dorsal side of each ID picture. The ID pictures must be attached on the application form by stapling the ID picture on the top edge for easy inspection of the signature and printed name on its dorsal side; [ ]
3. Copy of previous license; and [ ]
3. Payment of renewal fee – Php 1,515.00 [ ]

\_\_\_\_\_  
Name/Signature of Processor

\_\_\_\_\_  
Date