



# SECURITIES AND EXCHANGE COMMISSION APPLICATION FOR REGISTRATION RENEWAL CERTIFIED INVESTMENT SOLICITOR (CIS)

For the year \_\_\_\_\_

(To be accomplished in 4 copies with cover sheet;  
3 copies for SEC and 1 receiving copy for the applicant)

Last Name	First Name	Middle Name	TIN
Certificate of Registration No.			
Residential Address			
Name of Employer Firm			
Firm's Principal Business Address			
Street	City	Province	Postal Code
Branch ID#			
Street		Office of Employment Address	
		City	Province
		Postal Code	

The above-named employer hereby makes application for the renewal registration of the above-named individual and hereby certifies that the information contained in his/her most recent filing of SEC Form ICA-CIS is true, correct, current, accurate and complete. All registered Certified Investment Solicitors are required to update SEC Form ICA-CIS information by submitting amendments wherever information on file becomes inaccurate for any reason within seven (7) days of any change to the information previously filed.

Staple applicant's 2x2 B&W ID picture (with signature and printed name at the back)

\_\_\_\_\_  
Printed Name/Signature of Company President

Reviewed by:

\_\_\_\_\_  
Printed Name/Signature of Compliance Officer

\_\_\_\_\_  
Printed Name/Signature of Applicant

\_\_\_\_\_  
Date

For SEC use only: Reference No. \_\_\_\_\_

1. Four (4) sets of duly accomplished SEC Form ICA-RCIS for Certified Investment Solicitors with original signatures of the applicant, with Cover Sheet; [ ]
2. Two (2) pcs. current 2x2 B&W ID picture with the signature and printed name of the applicant affixed on the dorsal side of each ID picture. The ID pictures must be attached on the application form by stapling the ID picture on the top edge for easy inspection of the signature and printed name on its dorsal side; [ ]
3. Copy of previous license; and [ ]
4. Payment of renewal fee – Php 1,015.00 [ ]

\_\_\_\_\_  
Name/Signature of Processor

\_\_\_\_\_  
Date