



SECURITIES AND EXCHANGE COMMISSION APPLICATION FOR REGISTRATION RENEWAL INVESTMENT COMPANY ADVISER/FUND MANAGER

For the year _____

(To be accomplished in 4 copies with cover sheet;
3 copies for SEC and 1 receiving copy for the applicant)

Name of Applicant Company:	TIN
	Cert. of Registration No.
Name of Fund/s Managed:	Name of Fund Distributor/s:
Applicant's Main Address:	Tel. No.
	Fax No.
	E-mail Address:
Compliance Officer:	No. of Branch Office/s:

The above-named company hereby applies for the renewal of its registration and hereby certifies that the information contained in its most recent filing of SEC Form ICA-IA is true, correct, current, accurate and complete. All registered Investment Company Advisers are required to update SEC Form ICA-IA information by submitting amendments whenever information on file becomes inaccurate for any reason within seven (7) days of any change to the information previously filed.

Reviewed by:

Printed Name/Signature of Compliance Officer

Printed Name/Signature of Company President

Date

.....
For SEC use only: Reference No. _____

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| 1. Four (4) sets of duly accomplished SEC Form ICA-RR for Investment Company Advisers (ICAs) with original signatures of the company's President, with Cover Sheet; | [] |
| 2. Letter of intent to renew license duly signed by the company's President with: (i) addresses of the BO where mutual fund transactions will be solicited/processed (ii) the names and addresses of the mutual fund/distributor/s, if not the same as the ICA, and (iii) the names, TINs and Certificate of Registration Numbers of the CIS to be renewed/registered; | [] |
| 3. Copy of previous license; | [] |
| 4. Copy of current Investment Management Agreement/Distribution Agreement; | [] |
| 5. List of Board of Directors, officers and employees with their corresponding positions duly certified correct by the company's President and review by the Compliance Officer; | [] |
| 6. Two (2) pcs. current 2x2 B&W ID picture of all the directors and officers of the company with signature and printed name of the respective director/officer on the back of each ID picture and attached on a separate sheet by stapling each ID picture on the left/right edge. The separate sheet containing the ID pictures of all the directors and officers of the company must be duly certified by the company's President or Compliance Officer; | [] |
| 7. Termination Notice (SEC Form ICA-T) or a copy thereof duly stamped received by the SEC (if already filed) for CISs who were previously issued licenses but are no longer connected with the company or whose licenses will not be renewed for the applicable licensing year; | [] |
| 8. Copy of (a) Latest Audited Financial Statements and (b) Latest General Information Sheet duly stamped received by the SEC; | [] |
| 9. For those ICA utilizing the services of other distributors or sub-agents: (i) a written description of the distribution/agency agreement with other entities (ii) copy of the distribution/agency agreement, and (iii) all other documents that would explain the arrangement; | [] |
| 10. An affidavit executed by an authorized officer of the company stating that the applicant company; its board members, officers and investment solicitors have no pending formal administrative, civil or criminal case or is not under any investigation for (i) investment or investment-related business; (ii) fraud, false statements, or omissions; (iii) wrongful taking of property; (iv) bribery, forgery, counterfeiting or extortion; or (v) any other related case since the filing of its original application with the Commission; and | [] |
| 11. Payment of renewal fee – Php3,030.00 | [] |

Name/Signature of Processor

Date