



**SECURITIES AND EXCHANGE COMMISSION
SEC FORM IHU/GSED-RR
RENEWAL APPLICATION FOR INVESTMENT HOUSE
UNDERWRITER OF SECURITIES
GOVERNMENT SECURITIES ELIGIBLE DEALER**

For the Year _____

(To be accomplished in four (4) copies with cover sheet; 3 copies for SEC & 1 receiving copy for the applicant)

Check all the applicable boxes:

<input type="checkbox"/>	Investment House only
<input type="checkbox"/>	Investment House (presently engaged in B/D of securities functions)
<input type="checkbox"/>	<input type="checkbox"/> Exchange Member <input type="checkbox"/> Non-Exchange Member
<input type="checkbox"/>	IH with Branch Office No. of Branches (_____)
<input type="checkbox"/>	IH with BSP License: Quasi-Bank, Trust, IMA, Foreign Exchange Operations (please specify) _____
<input type="checkbox"/>	IH with Financing Company License
<input type="checkbox"/>	IH with Affiliate Bank/Other Financial Institution
<input type="checkbox"/>	IH with Over-The-Counter (OTC) Transactions
<input type="checkbox"/>	IH/Underwriter of Securities engaged in dealing in government securities
<input type="checkbox"/>	Underwriter of Securities only
<input type="checkbox"/>	GSED only

<i>Name of Applicant Company</i>	<i>TIN</i> <i>Certificate of Registration No.</i>
<i>Principal Business Address</i>	<i>Tel. No.</i> <i>Fax No.</i> <i>E-mail Address</i>
<i>Contact Person and Designation</i>	
<i>Name of Associated Person/Compliance Officer</i>	

The above-named Company hereby applies for its renewal registration and hereby certifies that the information contained in its most recent filing of SEC Forms IHU/IHU-A and 28-BDA remains true, correct, current, accurate and complete. All registered investment houses/underwriters/dealer in government securities (registrants) are required to update SEC Forms IHU-A and 28-BDA information by submitting amendments whenever the information on file becomes inaccurate for any reason within seven (7) days of any change to the information previously filed.

Reviewed by:

Printed Name / Signature of AP/CO

Printed Name / Signature of Company President

Date

For SEC only: IHU Reference No. _____

GSED Reference No. _____

A. IHU/GSED Requirements:

- | | |
|---|-----|
| 1. Copy of the company's current license. | [] |
| 2. Continuing authorization for the Commission's duly authorized representative to verify the applicant's bank accounts. (For IH and Underwriters only) | [] |
| 3. Photocopy of Latest Audited Financial Statements stamped received by SEC and Latest Interim Financial Statements. | [] |
| 4. Copy of its latest General Information Sheet stamped received by the SEC. | [] |
| 5. List of Current Directors, Officers and Employees with corresponding positions/designations. | [] |

B. Payment of Renewal Fees

Investment House/Underwriter of Securities	P 20,200.00	[]
Investment House/Underwriter of Securities engaging in dealing in gov't. securities	P 30,300.00	[]
Dealer in Government Securities	P 20,200.00	[]

Name/Signature of Processor

Date