



Republic of the Philippines
Department of Finance
Securities and Exchange Commission
SEC Bldg. EDSA, Greenhills, Mandaluyong City
Tel. No. (632) 727-3164 Telefax (632) 726-1593

MARKET REGULATION DEPARTMENT

ASSOCIATED PERSONS CERTIFICATION EXAM (APCE) RESULTS

The following passed the **APCE Special Make-up Examination for Modules 1 - 5**, which was administered by the Securities and Exchange Commission on December 5, 12, and 19, 2007 (alphabetical order by last name). For further information, contact MS. MARITESS SANTOS-ALBAY of the MARKET REGULATION DEPARTMENT at telephone nos. 726-0931 loc. 223 or 725-3702.

Module 1 – Overview on the Role of an Associated Person & Significant Features of the SRC and Other Pertinent Rules

	<i>FIRST NAME</i>	<i>M.I.</i>	<i>LAST NAME</i>
1	Leticia	C.	Abique
2	Jamie Marie	C.	Ang
3	Jeanette	C.	Buena
4	Marina	M.	Centeno
5	Carlito	B.	De Castro
6	Paulina	M.	Gonzaga
7	Lea	F.	Mariño
8	Saniata	G.	Ortiz



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Module 2 – SRC Rules on Business Conduct and PSE Code of Conduct for Trading Participants

	<i>FIRST NAME</i>	<i>M.I.</i>	<i>LAST NAME</i>
1	Monica	Z.	Eleazar
2	Lea	F.	Mariño
3	Ma. Luisa	E.	Miranda

Module 3 – Sales Practice and Books and Records Rules

	<i>FIRST NAME</i>	<i>M.I.</i>	<i>LAST NAME</i>
1	Ramil	G.	Flores
2	Saniata	G.	Ortiz
3	Judith	M.	Pormalejo

Module 4 – Anti Money Laundering Act/Code of Corporate Governance

	<i>FIRST NAME</i>	<i>M.I.</i>	<i>LAST NAME</i>
1	Myrna	M.	Hermosilla



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Module 5 – Principles of Risk Management, Net Capital & Risk Based Capital Adequacy Requirement

	<i>FIRST NAME</i>	<i>M.I.</i>	<i>LAST NAME</i>
1	Leticia	C.	Abique
2	Florentino	L.	Basco
3	Blandino	V.	Bujatin, Jr.
4	Violeta		Castillo
5	Eleanor		Go
6	Paulina	M.	Gonzaga
7	Cecilia	B.	Guevarra
8	Myrna	M.	Hermosilla
9	Nelson	V.	Honrubia
10	Abigail	C.	Lorica
11	Ma. Luisa	E.	Miranda
12	Rodolfo	DG	Pren
13	Ma. Luisa Andrea	M.	Raymundo
14	Ron	V.	Torres