



Republic of the Philippines  
Department of Finance  
**Securities and Exchange Commission**  
SEC Bldg. EDSA, Greenhills, Mandaluyong City

**MARKET REGULATION DEPARTMENT**

**CERTIFIED SECURITIES REPRESENTATIVES EXAMINATION**

April 4 & 11, 2008

**Important Reminders**

1. This year's examination will be divided into two Parts- Technical (Part I) and Regulatory (Part II) which will be given on two separate dates. The schedule of the two examinations are as follows:

<b>Technical</b>	<b>Friday, April 4, 2008</b> 9- 11AM & 11:15AM - 1:15 PM
<b>Regulatory</b>	<b>Friday, April 11, 2008</b> 9- 11AM & 11:15AM - 1:15 PM

2. There will be two batches of examinees per Part, the list of examinees with their corresponding schedules is found in **Annex A**.
3. Generally, to qualify for Salesman licensing, the applicant must pass the two parts. Each part shall have a weight of 50%. To pass, the examinee must have a weighted average of at least 75% from the two parts as they constitute one complete examination, with no score less than 50% for each part.

For purposes of determining whether an applicant passes the examination, the following will be considered:

- a. The examinee shall be deemed to have passed one part of the exam if he/she gets a score of 50% or more.

- b. Only when the examinee passes both parts will his weighted score (for the two examinations) be considered. To qualify for licensing, the examinee must get at least 75% of the total score.

All examinees are required to take Parts I and II of the examination. Failure to pass or take either one will invalidate the whole examination. Note that passing Part I is not a prerequisite to taking Part II. Accordingly, scores will not be released until both examinations have been administered.

4. Coverage of Parts I and II shall be as follows:

Part I: Technical

- √ Fundamentals of Securities I
- √ Fundamentals of Securities II
- √ Economics, Securities Analysis and Market Theories
- √ Portfolio Management/International Market and Global Investing

Part II: Regulatory

- √ Laws, Rules and Regulations I: Securities Registration
- √ Laws, Rules and Regulations II: Regulation of Market Participants Engaged in Securities Trading Activities and the Over the Counter Rules
- √ Anti-Money Laundering Act and Other Related Rules
- √ Dealing with the Investing Public
- √ Trading at the Philippine Stock Exchange
- √ Clearing and Settlement

5. The abovementioned examination coverage and format shall also apply to those examinees who are re-taking the examination this year.
6. The examination may be a combination of Multiple Choice, True or False, and Essay Questions.
7. Calculators will be required for the Technical Part (Part I). Programmable calculators are not allowed.
8. Downloadable from the SEC website are the following study materials:
  - √ Presentation materials on Clearing and Settlement
  - √ Study Guide (pp. 80 to 105)

  
**VICENTE GRACIANO P. FELIZMENIO, JR.**  
Officer-In-Charge

***Certified Securities Representative Examination  
12<sup>th</sup> Floor, SEC Bldg., EDSA, Greenhills Mandaluyong City***

**EXAMINATION SCHEDULE**

***April 4, 2008 (9:00 a.m. to 11:00 a.m.)***

***April 11, 2008 (11:15 a.m. to 1:15 p.m.)***

1	Abara, Raymund	23. Chew, Edwin
2	Agaray, Grace P.	24. Chua, Andrew Lawrence E.
3	Agero, Angelyne Ann S.	25. David, Frank Philippe
4	Alconera, Joyce Lorraine B.	26. De Guzman, Jeanette M.
5	Alday, Susan C.	27. De Ocampo, Mark Edwin B.
6	Alvarez, Michael Andrew P.	28. De Venecia, Richard
7	Ang, Randy	29. Delacruz, Marjorie S.
8	Araullo, Alessandra P.	30. Delantar, Edna A.
9	Armada, Evelyn I.	31. Delos Santos, Marie Anne V.
10	Atendido, Enrico	32. Eguia, Jennethe A.
11	Aviles, Marie Paulyn F.	33. Eguia, Ma. Elisa C.
12	Bernal, Patricia B.	34. Feliciano, Regina J.
13	Bernardino, Shella	35. Fernandez, Andrea C.
14	Bitong, Jenielyn A.	36. Figueroa, Miguel G.
15	Buesa, Melogen E.	37. Gagtan, Catherine G.
16	Burgos, Karlo	38. Galendez, Antonio B.
17	Cabajes, Jeffrey L.	39. Garcia, Johann Paolo C.
18	Calderon, Darlene Angelica	40. Garcia, Miguel Antonio T.
19	Camacho, Marc F.	41. Gianzon, Angeline
20	Cambaya, Meliton N.	42. Gilles, Alexander N. N.
21	Castanares, Roberto Jr. A.	43. Go, Jimmy Lloyd
22	Cezar, Nyreen R.	44. Go, Michael

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April 11, 2008 (11:15 a.m. to 1:15 p.m.)*

45	Godinez, John Kenneth C.	
46	Gomez, Rafael E.	
47	Gutierrez, Catherine Rose L.	
48	Gutierrez, James Michael B.	
49	Hampton, Albert Joseph R.	
50	Hayes, Antonia Marie Therese	
51	Imperial, Bryan O.	
52	Imperial, Pia Rellene T.	
53	Jacinto, Edward C.	
54	Jose, Francisco Javier E.	
55	Kong, Joanne Arlene	
56	Lacson, Jose Mari B.	
57	Lagrimas, Ronald Jason G.	
58	Lanuza, Andrea Michaela Y.	
59	Lanuza, Gerardo Domenico	
60	Lee, Christian Aaron T.	
61	Ligot, Stephanie Y.	
62	Lim, Austine Aggie	
63	Lim, Raymond C.	
64	Lim, Richard Allen A.	

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April 11, 2008 (9:00 a.m. to 11:15 a.m.)*

1	Lina, Maria Angelica Bea T.	23. Reyes, Mario Angelo
2	Macatangay, Giovanni A.	24. Reyes, Stephanie Grace
3	Magpantay, Jilbert M.	25. Santos, Andre Christian B.
4	Mendizabel, Ruel G.	26. Santos, Marilou A.
5	Mendoza, Albert S.	27. Say, Aaron M.
6	Mina, Rolando C.	28. See, Kristina Maries
7	Murillo, Roberto	29. Sestoso, Josephine A.
8	Ng, Allen	30. Siy, Eduardo T.
9	Ng, Charles Edrick Y.	31. So, Romina C.
10	Ocampo, Marlex G.	32. Sorongon, Justin S.
11	Ombe, Joseph Reynell G.	33. Tan, Dennis Patrick
12	Ong, Jeffrey G.	34. Tan, Michael D.
13	Ong, Terence	35. Tan, Wilson Jeffrey
14	Pantoja, Paolo Antonio M.	36. Tan, Wilson Y.
15	Pentinio, Marie Bel R.	37. Tang, Ana N.
16	Posadas, Jesus Cristino P.	38. Tanlu, Leonardo
17	Puno, Ronaldo Miguel	39. Tansengco, Carmelo D.
18	Qua, Mimi	40. Tee, Markwin L.
19	Raagas, Lendly L.	41. Tee, Sharwin L.
20	Ramos, Jason S.	42. Tin, Anita S.
21	Ramos, Paul Jayson I.	43. Tiu, Peter
22	Raz, John Michael M.	44. Trilles, Ray R.

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**EXAMINATION SCHEDULE**

*April 4, 2008 (11:15 a.m. to 1:15 p.m.)  
April 11, 2008 (9:00 a.m. to 11:15 a.m.)*

45	Tsai, Patrick	
46	Tumaliuan, Maria Corazon T.	
47	Uy, Jeremy Terrill	
48	Uy, Richard	
49	Uy, Stephen Earl L.	
50	Valdez, Richard S.	
51	Valerio, Simon Tristan	
52	Verzosa- Josen, Patricia Ann	
53	Vicena, Jayson D.	
54	Vicencio, Joselito Jr. C.	
55	Viray, Casper	
56	Virrey, Armando III,, F.	
57	Yap, Chase	
58	Yap, Jose Marvin A III	
59	Yu, Ronald Martin L.	
60	Zayco, Thomas Andrew L.	
61	Zialcita IV, Benigno B.	
62	Tabanda, Roy Patrick	
63	Malayao, Analaine	
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