



Republic of the Philippines
Department of Finance
Securities and Exchange Commission
SEC Bldg. EDSA, Greenhills, Mandaluyong City



CORPORATION FINANCE DEPARTMENT

SEC-CFD ORDER NO. 016

SERIES OF 2008

IN THE MATTER OF :

Violation of ICA Rule 35-1

LEGACY HY FUND, INC. :

X-----X


O R D E R

LEGACY HY FUND, INC. having violated ICA Rule 35-1 for late filing of its monthly sales and redemption reports for the month of November 2007, was penalized in the amount of **SEVENTEEN THOUSAND TWO HUNDRED PESOS (P17,200.00)** which it paid on January 30, 2008 under Official Receipt Number 0139006.

By this Order, the company is warned that, henceforth, it shall endeavor to take such measures that will ensure faithful compliance with its reportorial obligations. Otherwise, the Commission shall impose a heavier penalty upon the corporation and/or its responsible officers as warranted under SEC Memorandum Circular No. 6, Series of 2005 (Consolidated Scale of Fines).

SO ORDERED.

EDSA, Greenhills, Mandaluyong City, Philippines, February 7, 2008.


JUSTINA F. CALLANGAN
Director

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