



**SECURITIES AND EXCHANGE COMMISSION
APPLICATION FOR REGISTRATION RENEWAL
COMPLIANCE OFFICER (CO)**

For the year _____

(To be accomplished in 4 copies with cover sheet;
3 copies for SEC and 1 receiving copy for the applicant)

Last Name	First Name	Middle Name	
			TIN
Certificate of Registration No.			
Current Residential Address			
Name of Employer Firm			
Firm's Principal Business Address Street		City	Province
			Postal Code
Branch ID#	Street	Office of Employment Address City	Province
			Postal Code

The above-named employer hereby makes application for the renewal registration of the above-named individual and hereby certifies that the information contained in his/her most recent filing of SEC Form ICA-CO is true, correct, current, accurate and complete. All registered Compliance Officers are required to update SEC Form ICA-CO information by submitting amendments wherever information on file becomes inaccurate for any reason within seven (7) days of any change to the information previously filed.

Staple applicant's
2x2 B&W ID picture
(with signature and
printed name at the
back)

Printed Name/Signature of Company President

Printed Name / Signature of Applicant

Date

Subscribed and sworn to before me this ____ day of _____, 201__, exhibited to me his/her valid identity card _____ issued at _____ on _____

Notary Public

Doc No. _____;
Page No. _____;
Book No. _____;
Series _____;

1. Four sets of duly accomplished SEC Form ICA-RCO for Compliance Officer with original signatures of the applicant with cover sheet;
2. Two pieces current 2x2 ID picture with the signature and printed name of the applicant affixed on the dorsal side of each ID picture. The ID pictures must be attached on the application form by stapling the ID picture on the top edge for easy inspection of the signature and printed name on its dorsal side;
3. Copy of previous license; and
4. Payment of renewal fee – PhP1,515.00