

**COMPANY REGISTRATION AND MONITORING DEPARTMENT**

**LIST OF CAPITAL MARKET INSTITUTIONS  
WITH CONFIRMATION OF PAYMENT OF ANNUAL FEES FOR 2016**

**UNDERWRITERS OF SECURITIES ENGAGED IN DEALING GOVERNMENT SECURITIES**

<b>#</b>	<b>CR NO.</b>	<b>NAME OF COMPANY</b>	<b>FUNCTIONS*</b>
1	01-2008-00176	ASIA UNITED BANK CORPORATION	BD
2	01-2009-00255	AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED	BD
3	01-2008-00241	CHINA BANKING CORPORATION	BD
4	01-2008-00186	DEUTSCHE BANK AG	D
5	01-2005-00164	DEVELOPMENT BANK OF THE PHILIPPINES	BD
6	01-2008-00187	EAST WEST BANKING CORPORATION	BD
7	01-2008-00242	ING BANK N.V. MANILA BRANCH	BD
8	01-2008-00243	LAND BANK OF THE PHILIPPINES	BD
9	01-2008-00244	STANDARD CHARTERED BANK	BD
10	01-2008-00245	THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD.-PHILIPPINE BRANCH	BD
11	01-2008-00246	UNIONBANK OF THE PHILIPPINES	BD
12	01-2008-00247	UNITED COCONUT PLANTERS BANK	BD

\* BD = with Broker Dealer functions; B = with Broker functions; D = with Dealer functions