

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET INSTITUTIONS**

**DEALER IN SECURITIES  
NEW REGISTRANTS**

#	CR #	NAME
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September 2016

		NONE
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August 2016

		NONE
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July 2016

		NONE
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June 2016

		NONE
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May 2016

		NONE
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April 2016

		NONE
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March 2016

		NONE
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February 2016

		NONE
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January 2016

		NONE
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