

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**REGISTRANTS AS OF JUNE 2015
BROKER/DEALER in Securities**

#	CR #	NAME	TYPE
<u>01-2004-00005</u>		<u>ABACUS SECURITIES CORPORATION</u>	
1	02-2010-04586	BORJA, EVANGELINE JAVIER	S
<u>01-2004-00119</u>		<u>BDO SECURITIES CORPORATION</u>	
1	02-2015-10589	SILVESTRE, JOHN THOMAS LONTOK	S
<u>01-2004-00033</u>		<u>COL FINANCIAL GROUP, INC.</u>	
1	02-2015-10649	ESCANER JR, CALIXTO PALANOG	S
2	02-2015-10590	TAN, ALAN NG	S
<u>01-2004-00101</u>		<u>PCCI SECURITIES BROKERS CORPORATION</u>	
1	02-2015-10594	NAVAL, CATHYLIN BITUIN	S
<u>01-2004-00115</u>		<u>RCBC SECURITIES INC.</u>	
1	02-2015-10591	YUNON, BERNADETTE ARMENDI	S
<u>01-2004-00141</u>		<u>UPCC SECURITIES CORPORATION</u>	
1	02-2015-10749	CARLOS, JOHN EMMANUEL CAYAS	S
2	02-2015-10750	PIZARRO, MARTIN AMANCITO SOTTO	S
3	02-2015-10748	PUA, LEONARD PEDRO	S
<u>01-2004-00144</u>		<u>WEALTH SECURITIES, INC.</u>	
1	02-2015-10593	TAN, RON ERICH ONG	S
<u>01-2004-00149</u>		<u>YU AND COMPANY, INC.</u>	
1	02-2015-10747	REYES, MARVIN TAN	S