

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR NOVEMBER 2015
BROKER/DEALER IN SECURITIES**

#	CR #	NAME	TYPE
<u>01-2004-00027</u>			
1	02-2010-05246	BPI SECURITIES CORPORATION ESPINOSA, ANNA BARBARA MAGNO	S
<u>01-2004-00036</u>			
1	02-2015-11473	COHERCO SECURITIES, INC. DIZON, CHRISTINE CHERISH MARIN	AP
<u>01-2004-00033</u>			
1	02-2014-08685	COL FINANCIAL GROUP, INC. COBARRUBIAS, RALPH JURADO	S
2	02-2015-11395	COLAYCO, KRISTINE MARIELLE MENDOZA	S
3	02-2015-11396	FLORES, MATT ELVIS ABEJAR	S
4	02-2015-11397	LORENZO, CHRISTOPHER GUELAS	S
5	02-2015-11334	PURAY, ALEXANDER DAVID ALDIANO	S
6	02-2007-02282	REYES-LAO, MARC IBANEZ	S
7	02-2011-05614	VELASCO, KEVIN TRISTAN SANTOS	S
<u>01-2004-00047</u>			
1	02-2014-09961	EAST WEST CAPITAL CORPORATION SARMIENTO, JEFFREY GERERO	S
<u>01-2004-00095</u>			
1	02-2015-11472	FIRST METRO SECURITIES BROKERAGE CORPORATION BERNARDO, JOHN GILBERT SORIANO	S
<u>01-2004-00076</u>			
1	02-2015-11471	INVESTORS SECURITIES, INC. UMALI, VIVIAN MONTANA	S
<u>01-2004-00115</u>			
1	02-2015-11461	RCBC SECURITIES, INC. FLORENTINO, GERALD OCAMPO	S
2	02-2015-11485	UY, GERALD TAN	S
<u>01-2010-00257</u>			
1	02-2015-11398	SUNSECURITIES, INC. ONG, ALLAIN MICHAEL VILLANUEVA	S
<u>01-2004-00139</u>			
1	02-2013-07894	UNICAPITAL SECURITIES, INC. VELASCO, MARCO NINO MONDRAGON	S