

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR OCTOBER 2015
BROKER IN SECURITIES**

#	CR #	NAME	TYPE
<u>01-2004-00031</u>		<u>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES INCORPORATED</u>	
1	02-2009-03477	CHUA, ROANNA JOY YAP	FIMS
2	02-2011-05488	GO, JESYLYNE YU	FIMS
3	02-2014-08666	KHO, MARVIN LAO	FIMS
4	02-2009-03141	LAO, CHRISTOPHER SY	FIMS
5	02-2009-03263	TAN, SHERWIN PRENKSAMR	FIMS
6	02-2015-10244	TO, KENNESIE LIM	FIMS
<u>01-2004-00038</u>		<u>DA MARKET SECURITIES, INC.</u>	
1	02-2015-11325	SALAZAR, ALYSSA MARIE EVANGELISTA	S

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