

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR OCTOBER 2014

#	CR #	NAME	TYPE
<u>BROKER/DEALER in Securities</u>			
<u>01-2004-00033</u>		<u>COL FINANCIAL GROUP, INC.</u>	
1	02-2004-01587	OBLEA, STEPHEN STA. ROMANA	S
<u>01-2011-00274</u>		<u>CREDIT SUISSE SECURITIES (PHILIPPINES) INC.</u>	
1	02-2014-09734	DEE, MICHAEL BERNARD SILVERIO	S
<u>01-2004-00046</u>		<u>EASTERN SECURITIES DEVELOPMENT CORPORATION</u>	
1	02-2006-02069	LITAP JR, PERFECTO SALUPEN	AP
<u>01-2004-0095</u>		<u>FIRST METRO SECURITIES BROKERAGE CORPORATION</u>	
1	02-2012-06882	LO, LYNSETTE NGO	S
<u>01-2004-00019</u>		<u>MAYBANK ATR KIM ENG SECURITIES, INC.</u>	
1	02-2004-01449	TEJERO, RAMON MELCHOR EVIDENTE	S
<u>01-2004-00101</u>		<u>PCCI SECURITIES BROKERS CORPORATION</u>	
1	02-2014-09768	DE MESA, MARK GERON ESGUERRA	S
<u>01-2004-00104</u>		<u>PHILIPPINE EQUITY PARTNERS, INC.</u>	
1	02-2014-09721	CASIGURAN, ROGER ROSS UY	S
<u>01-2004-00115</u>		<u>RCBC SECURITIES, INC.</u>	
1	02-2014-09770	MARTINO, LUIGI MARTIN LLANES	AP
<u>01-2004-00127</u>		<u>STRATEGIC EQUITIES CORPORATION</u>	
1	02-2014-09719	GLEAN, MARIA LIZA LAIG	S
<u>01-2010-00257</u>		<u>SUNSECURITIES INC.</u>	
1	02-2014-09720	LIGO, LERIZA GONZALES	S
<u>01-2004-00144</u>		<u>WEALTH SECURITIES, INC.</u>	
1	02-2014-09737	PALAD, CHRISTINE MARIE PAGUNTALAN	S