

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR SEPTEMBER 2013

#	CR #	NAME	TYPE
<u>BROKER/DEALER in Securities</u>			
<u>01-2004-00119</u>		<u>BDO SECURITIES CORPORATION</u>	
1	02-2013-08238	LEE HOK, PAUL JOHN TAN	S
<u>01-2004-00027</u>		<u>BPI SECURITIES CORPORATION</u>	
1	02-2012-07166	GAMBOA, CHERRY MARIE PAHUYO	S
<u>01-2004-00034</u>		<u>CITISECURITIES, INC.</u>	
1	02-2013-08356	TSAI, PATRICK VINCENT	S
<u>01-2004-00033</u>		<u>COL FINANCIAL GROUP, INC.</u>	
1	02-2008-02763	JACINTO, EDWARD JOHN CAMPOS	S
<u>01-2004-00095</u>		<u>FIRST METRO SECURITIES BROKERAGE CORPORATION</u>	
1	02-2009-03359	ANG, VICKY NG SIU	S
2	02-2009-03371	PACHECO, INA BARON	S
3	02-2011-06073	SALVADOR, MARK ALEXANDER ABESAMES	S
<u>01-2004-00073</u>		<u>IMPERIAL, DE GUZMAN, ABALOS AND CO., INC.</u>	
1	02-2013-08355	MEDRANO, GERONIMO SOLIMAN	AP
<u>01-2004-00089</u>		<u>MANDARIN SECURITIES CORPORATION</u>	
1	02-2007-02287	CO, CHERRY LYN URACA	S
2	02-2004-00460	KATIGBAK, LEON JOSE ALVAREZ	S
3	02-2007-02287	LEE, ZYRA EVE LIGAN	S
<u>01-2004-00121</u>		<u>SB EQUITIES, INC.</u>	
1	02-2013-08354	HERNANDEZ, RAPHAEL JOURDAN MACALINDONG	S
<u>01-2004-00131</u>		<u>THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION</u>	
1	02-2013-07578	ROSAÑES, ANDREW TEE	S
<u>01-2004-00139</u>		<u>UNICAPITAL SECURITIES, INC.</u>	
1	02-2011-05747	DELFIN, ADRIAN SIERVO	S
2	02-2013-08353	MARASIGAN, LOURDES ANN SARE	S