

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET INSTITUTIONS**

**NEW REGISTRANTS FOR NOVEMBER 2014
MUTUAL FUND DISTRIBUTOR**

#	CR #	NAME	TYPE
	01-2004-00031	<u>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.</u>	
1	02-2009-03172	CASIMPAN, NOVA ABELLANA	CIS
2	02-2009-03247	CHUA, EMILY MATA	CIS
3	02-2010-04930	COCHING, CHRISTIAN JUDE TINAPAY	CIS
4	02-2013-07714	DADULA, ANNSILLE GWENDINA MALASARTE	CIS
5	02-2009-03180	PERALTA, ANGELA BAGUISI	CIS
6	02-2011-06172	SANTIAGO, REGINALD ANGELO HERNANDEZ	CIS
7	02-2011-05736	TAN, AVIGEIL CABRILLAS	CIS
8	02-2009-03282	TAN, SHARLEEN HAZEL CHENG	CIS
9	02-2009-03295	VILLACIN, ROCHELLE VENTURA	CIS

	01-2014-00033	<u>COL FINANCIAL GROUP, INC.</u>	
1	02-2004-00082	BARREDO, JUAN GARCIA	CIS