



Republic of the Philippines  
Department of Finance  
**Securities and Exchange Commission**  
SEC Bldg., EDSA, Greenhills, Mandaluyong City

## **S E C A D V I S O R Y**

March 2, 2017

This ADVISORY is prompted by inquiries and reports to the Commission that **BRAINMAX HOLDINGS AND TRADING, INC.** is soliciting investments wherein investors are promised a return of Forty Percent (40%) in four (4) months for a minimum investment of **Twenty Five Thousand Pesos (Php25,000.00)** which is allegedly reinvested in the stock market. Payment of return of investment is done through the issuance of postdated checks.

The public is hereby informed that based on the records of the Commission, **BRAINMAX HOLDINGS AND TRADING, INC.** is not authorized to solicit investments from the public as it has not secured the necessary license or permit from the SEC as required under Section 8 of the Securities Regulation Code (SRC). Further the authorized purposes of BRAINMAX, as stated in its Articles of Incorporation, DO NOT include investment-taking activities.

Those who act as salesmen, brokers, dealers, or agents of **BRAINMAX HOLDINGS AND TRADING, INC.** in selling or convincing people to invest in the investment scheme being offered by **BRAINMAX HOLDINGS AND TRADING, INC.** including doing solicitations or recruitment through the internet may likewise be prosecuted and held criminally liable under Section 28 of the Securities Regulation Code and penalized with a maximum fine of FIVE MILLION (P5,000,000.00) PESOS or a maximum penalty of TWENTY ONE (21) years imprisonment or BOTH pursuant to Section 73 of the SRC.

Accordingly, those who invite or recruit other people to join or invest in this venture or offer investment contracts or securities to the public may be held criminally liable or accordingly sanctioned or penalized, in accordance with the Supreme Court decision in the case of SEC vs. Oudine Santos (G. R. No. 195542, March 19, 2014).

In view of the foregoing, the public is hereby advised to exercise self-restraint from investing their money into such high-yield high-risk investment scheme and to take the necessary precaution in dealing with individuals representing the above-named entity.

Should you have any information about the business operations of **BRAINMAX HOLDINGS AND TRADING, INC.**, please call the Enforcement and Investor Protection Department at telephone numbers 818-6047.

For the guidance of the public.