

APPLICATION RECEIPT

Received the application for Fixed Income Market Salesmen Certification Examination (FIMSCE).

PHASE 1

PHASE 2 (Please tick the appropriate box)

Mutual Funds Equities Fixed Income AP/CO Timeshares

TIME: _____ DATE: _____ VENUE: _____

To be accomplished by the applicant:

Printed Name: _____
Signature: _____
Employer: _____

Bring the following on the examination day:

1. This Application Receipt;
2. One (1) blue or black ballpoint pen;
3. One (1) 10-digit calculator, preferably battery powered; and
4. Valid government-issued or company sponsor-issued ID card with photo, applicant's signature and signature of agency/company head.

Note:

- Refrain from bringing any electronic communication device or any material aside from those listed above.
- Come in smart casual attire.
- Please be at the examination venue at least 15 minutes before the start of examination.

WARNING: Any of the following constitutes an examination offense and will result in the permanent disqualification from taking any SEC examinations in the future and/or criminal prosecution:

1. Impersonating an examinee;
2. Consulting or having access to or in possession of unauthorized materials such as books, electronic devices, "codigo" and notes during the examination;
3. Aiding or attempting to aid another examinee or any other person during the examination;
4. Obtaining or attempting to obtain aid for another examinee or any other person during the examination;
5. Attempting to read the answer/s of another examinee;
6. Unruly behaviour or causing disturbance during an examination; and
7. Any deed (verbal or physical) deemed inappropriate or considered to be an examination offense by the proctor.

IMPORTANT!

- ♦ Seminar and examination payments are non-refundable and shall be forfeited if not availed of within the year of payment.
 - ♦ Schedule of examinations are subject to change without prior notice.
- ♦ Results of examinations are final. Requests for rechecking, if any, shall not be entertained.

Recently taken Passport Size Photo with Name Tag [1 ½" x 2"]
Self-printed or photocopy of picture will NOT be accepted.