

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET INSTITUTIONS**

**BROKER/DEALER in Securities
NEW REGISTRANTS**

#	CR #	NAME
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September 2017

1	01-2004-00018	CHINA BANK SECURITIES CORPORATION (FORMERLY: ATC SECURITIES, INC.)
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August 2017

		NONE
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July 2017

		NONE
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June 2017

		NONE
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May 2017

		NONE
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April 2017

		NONE
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March 2017

		NONE
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February 2017

		NONE
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January 2017

		NONE
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