

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET INSTITUTIONS**

**MUTUAL FUND DISTRIBUTOR
NEW REGISTRANTS**

#	CR #	NAME
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September 2017

1	01-2004-00139	UNICAPITAL SECURITIES, INC.
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August 2017

1	01-2004-00159	ATR ASSET MANAGEMENT INC.
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July 2017

		NONE
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June 2017

		NONE
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May 2017

		NONE
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April 2017

		NONE
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March 2017

		NONE
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February 2017

		NONE
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January 2017

1	BPI SECURITIES CORP.	01-2004-00027
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