

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**BROKER IN SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
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SEPTEMBER 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-13036	ONG, KURT MACKENZIE SY	FIMS
2	02-2014-08962	PAMINTUAN, CRYSTHEL PLATA	FIMS

AUGUST 2016

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-12652	SALINAS, HANSSEN ARNEDO	FIMS

JULY 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-13001	PAJADO, RIA MARIE SALAMAT	FIMS
2	02-2004-01449	TEJERO, RAMON MELCHOR EVIDENTE	FIMS

JUNE 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2014-08904	BITONG, BENJAMIN MARK DARIO	FIMS
2	02-2017-12900	THEOGO, ROSE ANN DELA CRUZ	FIMS
01-2004-00038		DA MARKET SECURITIES, INC.	
1	02-2004-00807	TAN, SHIRLEY ONG	S

MAY 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-12828	ALMENDAREZ, MA. CRISTINA CLAIRE TIAMZON	FIMS
2	02-2017-12829	PEREZ, SHARINA ADORAH CHAN QUING	FIMS

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APRIL 2017

01-2004-00130		TANSENGCO & COMPANY, INC.	
1	02-2016-12427	STA. MARIA, REINIER CANLAS	S

MARCH 2017

		NONE	
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FEBRUARY 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-12554	CHAN, KATRINA FONG	FIMS
2	02-2017-12607	DY, JUSTIN RICHMOND TAN	FIMS
3	02-2010-05242	GONZALEZ, ANTONIO JAVIER ITCHON	S
4	02-2009-03252	NG, ANTONIO GO	S
5	02-2009-02877	PANTANGCO, PETER ANTHONY YUMUL	S
6	02-2009-03276	SYLING, TIMOTHY MARK LUY	S
7	02-2017-12553	VELOSO, CAMILLE ANNETTE YBANEZ	FIMS

JANUARY 2017