

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**MUTUAL FUND DISTRIBUTOR
NEW REGISTRANTS**

#	CR #	NAME	TYPE
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SEPTEMBER 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-13036	ONG, KURT MACKENZIE SY	CIS
01-2004-00139		UNICAPITAL SECURITIES, INC.	
1	02-2014-09354	GABALDON, NEIL PATRICK ANG	CIS

AUGUST 2016

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-12652	SALINAS, HANSSEN ARNEDO	CIS

JULY 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-13001	PAJADO, RIA MARIE SALAMAT	CIS

JUNE 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-12900	THEOGO, ROSE ANN DELA CRUZ	CIS
01-2004-00095		FIRST METRO SECURITIES BROKERAGE CORPORATION	
1	02-2017-12647	ENCARNACION, RHIZZA MAE PILI	CIS
2	02-2017-12889	BELTRAN, ANDRO LEO ILLESCAS	CIS

MAY 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-12828	ALMENDAREZ, MA. CRISTINA CLAIRE TIAMZON	CIS
2	02-2015-11134	JAVINES, LIEZLE ALVERO	CIS
3	02-2014-08962	PAMINTUAN, CRYSTHEL PLATA	CIS

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4	02-2017-12829	PEREZ, SHARINA ADORAH CHAN QUING	CIS
01-2004-00033		COL FINANCIAL GROUP, INC.	
1	02-2017-12830	GARCIA, NATHALIE MONTEMAYOR	AP
2	02-2017-12831	SO, MARIAN CATHLEEN CHUA	CIS
3	02-2017-12849	GUE, DIANA TIONGCO	CIS
01-2004-00095		FIRST METRO SECURITIES BROKERAGE CORPORATION	
1	02-2004-00643	ORDONEZ, GONZALO GONZALEZ	CIS

APRIL 2017

		NONE	
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MARCH 2017

01-2004-00095		FIRST METRO SECURITIES BROKERAGE CORPORATION	
1	02-2016-11569	DESEO, ROGELIO JR. CABUROG	CIS
2	02-2011-05705	LICHAUCO, MICHAEL MATHEUS	CIS
3	02-2011-05703	PENTINIO, MARIE BEL ROSALES	CIS
4	02-2015-10253	SAMSON, ROBERTO MARCO NICOLAS	CIS
5	02-2015-10250	TINIMBANG, AYRA KATRINA SANCHEZ	CIS
6	02-2012-07110	ZARATE, FAYE ELOISA TAN	CIS

FEBRUARY 2017

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2017-12554	CHAN, KATRINA FONG	CIS
2	02-2017-12607	DY, JUSTIN RICHMOND TAN	CIS
3	02-2009-03516	SANCHEZ, MYLENE SULPICO	CIS
4	02-2017-12553	VELOSO, CAMILLE ANNETTE YBANEZ	CIS
01-2004-00144		WEALTH SECURITIES, INC.	
1	02-2014-09274	AVINANTE, BERNARD CONCEPCION	CIS
2	02-2008-02749	CHEW, EDWIN SO	CIS
3	02-2014-09377	PUIG, ANNA MARIA TERESA TEODORO	CIS
4	02-2011-05384	SUN JR, PAUL YU	CIS
5	02-2013-07716	TAN, WILLIAM EDISON LIM	CIS
6	02-2012-07330	TUMACDER, MARGARET ANN BACANI	CIS
7	02-2009-04063	UY, ANJELICA BONIFACIO	CIS
8	02-2012-07277	UY, SARAH MARIA DIONISIO	CIS
9	02-2013-07697	YULO, JOSE MARTIN GABRIEL COJUANGCO	CIS
10	02-2009-04352	YU, NIKKI LIM	CIS

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JANUARY 2017

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