



Bids and Awards Committee

REQUEST FOR QUOTATION (RFQ) No. 2021-SVP-37

The Securities and Exchange Commission (SEC), through its Bids and Awards Committee (BAC), will undertake an **Alternative Method of Procurement** for the item stated below, in accordance with **Section 53.9 Small Value Procurement** of the Revised Implementing Rules and Regulations of Republic Act. No. 9184.

The SEC hereinafter referred to as "the Purchaser", now requests submission of a price quotation for the subject below:

SAPP 2021-12	PR No.	Qty.	Description	Approved Budget for the Contract (ABC) inclusive of VAT
Item No. I.F.17	202112187	1 lot	Supply and Delivery of SEC Recognition Plaques <i>(please see Attachment III for the Technical Specifications)</i>	Php 676,200.00

Interested suppliers are required to submit the following documents:

1. Mayor's/Business Permit
2. PhilGEPS Registration Number
3. Income/Business Tax Return
4. Omnibus Sworn Statement (See Attachment III)
Unnotarized Omnibus Sworn statement is acceptable subject to compliance therewith after the award of contract in accordance with GPPB Resolution No. 09-2020

SEC Condition of Sales:

1. Delivery Schedule: Fifteen (15) Calendar days from receipt of approved NTP/PO
2. Validity: Sixty (60) calendar days from submission of bid
3. Delivery Site: 3/F Secretariat Bldg., PICC Complex, Pasay City

Award of contract shall be made to the bidder with the lowest quotation for the subject goods which complies with the minimum technical specifications and other terms and conditions stated herein.

Any alterations, erasures, or overwriting shall be valid only if they are signed or initialed by the bidder or his/her duly authorized representative.

Submission of duly signed Price Quotation Form (Attachment 1) and eligibility documents is not later than **12:00 p.m of December 21, 2021 (Tuesday)** at the Procurement Division, 3rd Floor, Secretariat Building, PICC Complex, Pasay City. Open submission may be done manually or through Facsimile No. 8818-5330 or via email at bacsecretariat@sec.gov.ph

The penalty for late deliveries is one tenth (1/10) of one (1) percent of the cost of the unperformed portion for every day of delay. The maximum deduction shall be ten percent (10%) of the contract price. Once the cumulative amount of liquidated damage reaches ten percent (10%) of the contract price, the procuring entity shall rescind the contract without prejudice to other courses of action and remedies open to it.

The SEC reserves the right to reject any and all bids, declare a failure of bidding, or not award the contract in accordance with Section 41 of RA 9184 and its IRR, without thereby incurring any liability to the affected bidder or bidders.


ARMANDO A. PAN JR.
Chairman, BAC

PRICE QUOTATION FORM
RFQ No. 2021-SVP-37

Date: _____

The Bids and Awards Committee
 c/o Procurement Division
 3rd Floor, Secretariat
 Building, PICC Complex, Pasay
 City

Sir/Madam:

After having carefully read and accepted the terms and conditions in the Request for Quotation, hereunder is our price proposal for the items identified below:

Item No	Article and Description	Qty / Unit	Unit Price (Php)	Total Price, VAT Inclusive (Php)
	Supply and Delivery of SEC Recognition Plaques <i>(please see Attachment III for the Technical Specifications)</i>	1 Lot	P_____	P_____
		TOTAL		

AMOUNT IN WORDS _____ (VAT inclusive)

The above-quoted price is inclusive of all costs and applicable taxes

AUTHORIZED REPRESENTATIVE:

Signature : _____
 Printed Name : _____
 Date : _____
 Company Name : _____
 Contact no. : _____

Omnibus Sworn Statement (Revised)

REPUBLIC OF THE PHILIPPINES)
CITY/MUNICIPALITY OF _____) S.S.

AFFIDAVIT

I, [Name of Affiant], of legal age, [Civil Status], [Nationality], and residing at [Address of Affiant], after having been duly sworn in accordance with law, do hereby depose and state that:

1. *Select one, delete the other:*

If a sole proprietorship: I am the sole proprietor or authorized representative of [Name of Bidder] with office address at [address of Bidder];

If a partnership, corporation, cooperative, or joint venture: I am the duly authorized and designated representative of [Name of Bidder] with office address at [address of Bidder];

2. *Select one, delete the other:*

If a sole proprietorship: As the owner and sole proprietor, or authorized representative of [Name of Bidder], I have full power and authority to do, execute and perform any and all acts necessary to participate, submit the bid, and to sign and execute the ensuing contract for [Name of the Project] of the [Name of the Procuring Entity], as shown in the attached duly notarized Special Power of Attorney;

If a partnership, corporation, cooperative, or joint venture: I am granted full power and authority to do, execute and perform any and all acts necessary to participate, submit the bid, and to sign and execute the ensuing contract for [Name of the Project] of the [Name of the Procuring Entity], as shown in the attached [state title of attached document showing proof of authorization (e.g., duly notarized Secretary's Certificate, Board/Partnership Resolution, or Special Power of Attorney, whichever is applicable)];

3. [Name of Bidder] is not "blacklisted" or barred from bidding by the Government of the Philippines or any of its agencies, offices, corporations, or Local Government Units, foreign government/foreign or international financing institution whose blacklisting rules have been recognized by the Government Procurement Policy Board, by itself or by relation, membership, association, affiliation, or controlling interest with another blacklisted person or entity as defined and provided for in the Unified Guidelines on Blacklisting:

4. Each of the documents submitted in satisfaction of the bidding requirements is an authentic copy of the original, complete, and all statements and information provided therein are true and correct;

5. [Name of Bidder] is authorizing the Head of the Procuring Entity or its duly authorized representative(s) to verify all the documents submitted;

6. *Select one, delete the rest:*

If a sole proprietorship: The owner or sole proprietor is not related to the Head of the Procuring Entity, members of the Bids and Awards Committee (BAC), the Technical Working Group, and the BAC Secretariat, the head of the Project Management Office or

the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;

If a partnership or cooperative: None of the officers and members of [Name of Bidder] is related to the Head of the Procuring Entity, members of the Bids and Awards Committee (BAC), the Technical Working Group, and the BAC Secretariat, the head of the Project Management Office or the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;

If a corporation or joint venture: None of the officers, directors, and controlling stockholders of [Name of Bidder] is related to the Head of the Procuring Entity, members of the Bids and Awards Committee (BAC), the Technical Working Group, and the BAC Secretariat, the head of the Project Management Office or the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;

7. [Name of Bidder] complies with existing labor laws and standards; and
8. [Name of Bidder] is aware of and has undertaken the following responsibilities as a Bidder:
- a) Carefully examine all of the Bidding Documents;
 - b) Acknowledge all conditions, local or otherwise, affecting the implementation of the Contract;
 - c) Made an estimate of the facilities available and needed for the contract to be bid, if any; and
 - d) Inquire or secure Supplemental/Bid Bulletin(s) issued for the [Name of the Project].
9. [Name of Bidder] did not give or pay directly or indirectly, any commission, amount, fee, or any form of consideration, pecuniary or otherwise, to any person or official, personnel or representative of the government in relation to any procurement project or activity.
10. **In case advance payment was made or given, failure to perform or deliver any of the obligations and undertakings in the contract shall be sufficient grounds to constitute criminal liability for Swindling (Estafa) or the commission of fraud with unfaithfulness or abuse of confidence through misappropriating or converting any payment received by a person or entity under an obligation involving the duty to deliver certain goods or services, to the prejudice of the public and the government of the Philippines pursuant to Article 315 of Act No. 3815 s. 1930, as amended, or the Revised Penal Code.**

IN WITNESS WHEREOF, I have hereunto set my hand this _____ day of _____, 20____ at _____, Philippines.

Bidder's Representative/Authorized Signatory

SUBSCRIBED AND SWORN to before me this __ day of [month] [year] at [place of execution], Philippines. Affiant/s is/are personally known to me and was/were identified by me through competent evidence of identity as defined in the 2004 Rules on Notarial Practice (A.M. No. 02-8-13-SC). Affiant/s exhibited to me his/her [insert type of government identification card used], with his/her photograph and signature appearing thereon, with no. _____ and his/her Community Tax Certificate No. _____ issued on at _____.

Witness my hand and seal this_____day of *[month]* *[year]*.

NAME OF NOTARY PUBLIC

Serial No. of Commission _____
Notary Public for_until_____
Roll of Attorneys No. _____
PTR No._____ *[date issued], [place issued]* IBP
No._____ *[date issued], [place issued]*

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TECHNICAL SPECIFICATIONS

I. Project Title

Supply and Delivery of SEC Recognition Plaques

II. Requirements

SEC External Stakeholders (TD-ERTD)

	Description	Quantity
1	Plaque for SEC Awardees for SEC Head Office	71
2	Plaque for SEC Awardees for SEC Extension Office	101
3	Plaque for SEC CAMPAIGN Network Partners	7
4	Plaque for SEC Investor Champion	22

III. Objective

To purchase various recognition plaques for SEC External stakeholders.
Note: ERTD is the end-user representative.

IV. Item Specifications

Bidder should be capable of providing the following items with their corresponding features and capabilities or higher.

SEC External Stakeholders

1. Plaque for SEC Awardees for SEC Head Office

Material	Crystal Glass
Top Dimension	Height = 8 in (from base) Length = 6 in Thickness = 1/2 in
Top Design	Hexagonal Beveled at all sides (front and back) Tapered at top sides to tip 1 ½ in trimmed at base
Base Dimension	Width = 2 ½ in Length = 5 ½ in Thickness = 3/8 in
Base Design	Rectangular Beveled at all side and edges
Logo	Top; Engraved / Etched
Text	Top; Engraved / Etched with Black Paint
Box	Customized/Specialized Velvet Box Outer Box – Hardbound Case, Black Inside Cloth – Silk, Emerald Green With Polyurethane Foam Inserts

2. Plaque for SEC Awardees for SEC Extension Office

Material	Crystal Glass
Top Dimension	Height = 6 in (from base) Length = 4.5 in Thickness = 3/8 in
Top Design	Hexagonal Beveled at all sides (front and back) Tapered at top sides to tip ½ in trimmed at base
Base Dimension	Width = 2 in Length = 3 5/8 in Thickness = 3/8 in
Base Design	Rectangular Beveled at all side and edges

Logo & Text	Printed Frosted Sticker with Colored Logo and Black Text
Box	Customized/Specialized Velvet Box Outer Box – Hardbound Case, Black Inside Cloth – Silk, Emerald Green With Polyurethane Foam Inserts

3. Plaque for SEC CAMPAIGN Network Partners

Material	Crystal Glass
Top Dimension	Height = 7 in (from base) Length = 6 in Thickness = 1 ½ in
Top Design	Hexagonal Beveled at all sides (front and back)
Base Dimension	Length = 4 in, beveled from sides to bottom Thickness = 1 ½ in
Base Design	Diamond
Logo	Top; Engraved / Etched
Text	Top; Engraved / Etched with Black Paint
Box	Customized/Specialized Velvet Box Outer Box – Hardbound Case, Black Inside Cloth – Silk, Emerald Green With Polyurethane Foam Inserts

4. Plaque for SEC Investor Champion

Frame Material	1 in. wooden frame
Frame Dimension	Height = 12 in Length = 10 in Side Thickness = 1 in Front Thickness = ¾ in
Body Material	Rectangular Brass Metal
Top Element Material	Hexagonal Glass with Engraved Logo and Design
Top Element Color	Jade
Top Element Dimension	Height = 150 mm Length = 125 mm Sides – 75 mm Thickness = Tapered from 2mm at side to 5 mm in middle
Bottom Element Material	Beveled Glass with Frosted Sticker Backing Dual Colored (Light Green and Dark Green)
Bottom Element Text	Brass Plate with Engraved Text
Bottom Element Logo & 85 th Anniversary Icon	Engraved with SEC Colors
Box	Customized/Specialized Velvet Box Outer Box – Hardbound Case, Black Inside Cloth – Silk, Emerald Green With Polyurethane Foam Inserts

V. Delivery Schedule

Item Description	Quantity	Date of Delivery	Place of Delivery
Item 1	71	within thirty (30) days upon receipt of notice to proceed	SEC Head Office
Item 2	20		SEC - Bacolod Extension Office
	5		SEC - Cagayan De Oro Extension Office
	22		SEC - Cebu Extension Office
	10		SEC - Davao Extension Office
	11		SEC - Iloilo Extension Office
	10		SEC - Legazpi Extension Office
	8		SEC - Tarlac Extension Office
	7		SEC – Baguio Extension Office
	8		SEC –Zamboanga Extension Office
Item 3	7		SEC Head Office
Item 4	22		SEC Head Office

Place of Delivery:

Office	Address
SEC - Head Office	3 rd Floor Secretariat Building, PICC Complex, Pasay City
SEC - Cagayan De Oro Extension Office	SEC Building, 14 th St. cor. Tomasaco Del Lara St., Cagayan De Oro City
SEC - Zamboanga Extension Office	SEC Building, San Jose Panigayan St., Zamboanga City
SEC - Baguio Extension Office	3/f Newtown Square, Navy Base Road, Baguio City
SEC - Legazpi Extension Office	2nd Floor RDC Primeland Bldg., 1 Landco Business Park, Brgy. Capantawan, Legazpi
SEC - Tarlac Extension Office	2/f Legislative Bldg., Tarlac City
SEC - Cebu Extension Office	SEC Bldg. V. Rama Ave., Guadalupe, Cebu City
SEC - Iloilo Extension Office	SEC Bldg., Gen. Hughes St., Iloilo City
SEC - Davao Extension Office	SDC Bldg. Ma-a Road, Brgy. Ma-a, Davao City
SEC - Bacolod Extension Office	P. Hernaez St. Extension, Brgy. Taculing, Bacolod City

VI. Warranty & Disclosure

- One (1) Year Warranty Replacement from the date of delivery.
- The bidder will be liable for any loss or damage of the goods, incurred during the delivery.

VII. Quality Assurance

Bidder must submit a Proof-of-concept (POC) or conduct a Product Demonstration or any equivalent form of trial/testing to the end-user during the post-qualification phase for each items being offered, to ensure the actual quality of the product.

I hereby certify that the statement of compliance to the foregoing technical specifications are true and correct otherwise, if found to be false either during bid evaluation, post-qualification or the execution of the contract, the same shall give rise to the imposition of administrative sanctions and the forfeiture of bid security or the performance security posted consistent with section 69.1 and 69.2 of the revised Implementing Rules and Regulations of the Republic Act No. 9184.

Company Name : _____
Company Address : _____

AUTHORIZED REPRESENTATIVE:

Signature : _____
Printed Name : _____
Position : _____



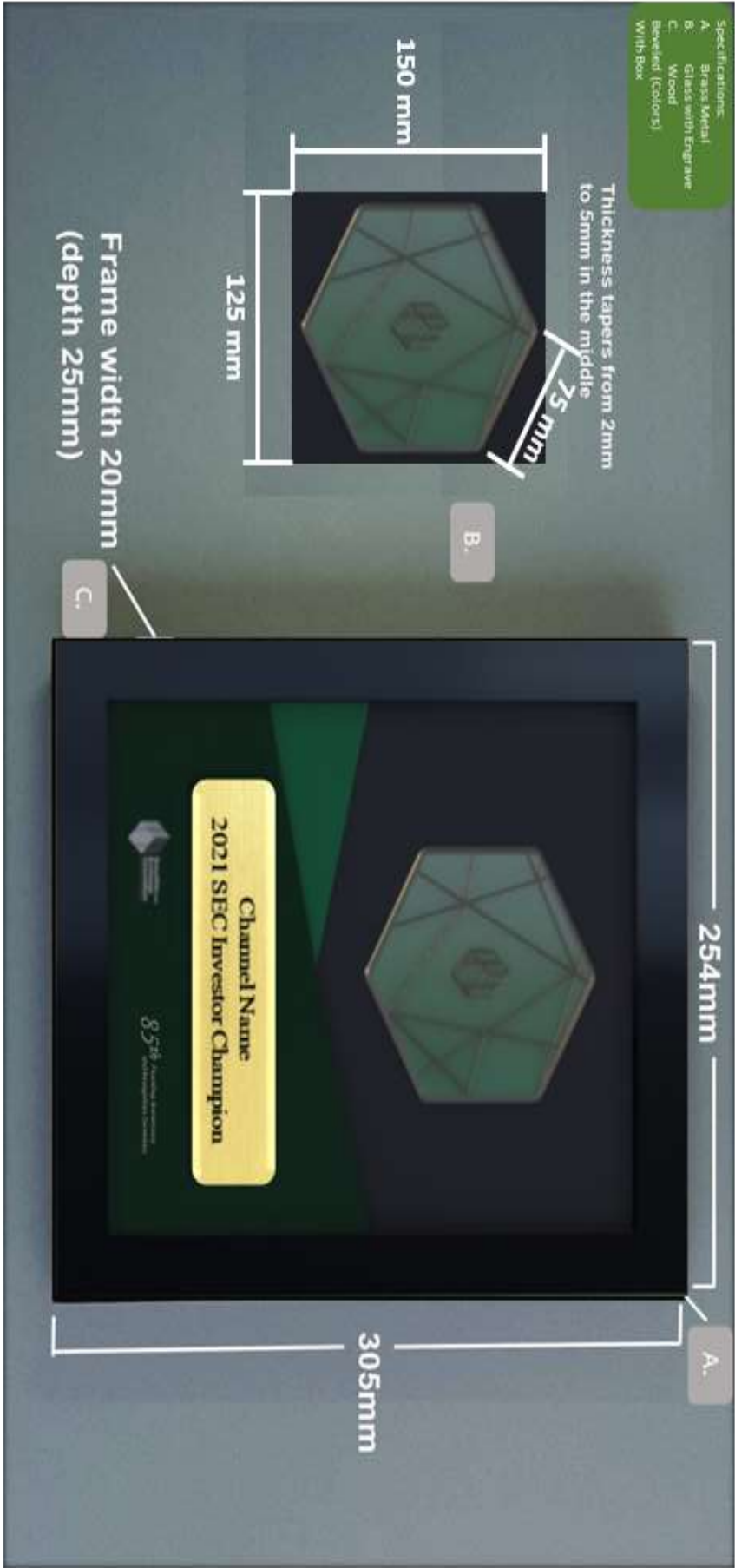
ITEM 1: SEC AWARDEES



ITEM 2: SEC AWARDEES FOR SEC EXTENSION OFFICE



ITEM 3: SEC CAMPAIGN NETWORK PARTNERS



ITEM 4

SEC INVESTOR CHAMPIONS

TERMS D CONDITIONS:

1. Bidders shall provide correct and accurate information required in this form.
2. Price quotation/s must be valid for a period of sixty (60) calendar days from the date of submission.
3. Price quotation/s. to be denominated in Philippine peso shall include all taxes, duties and/ or levies payable.
4. Quotation/s exceeding the Approved Budget for the Contract shall be rejected.
5. Award of contract shall be made to the lowest calculated and responsive quotation (for goods and infrastructure) or, the highest rated offer (for consulting services) which complies with the minimum technical specifications and other terms and conditions stated herein.
6. Any interlineations, erasures or overwriting shall be valid only if they are signed or initialed by you or any of your duly authorized representative/s.
7. The item/s shall be delivered according to the requirements specified in the Technical Specifications.
8. The SEC shall have the right to inspect and/or to test the goods to confirm their conformity to the technical specifications,
9. In case two or more bidders are determined to have submitted the Lowest Calculated Quotation/Lowest calculated and Responsive Quotation, the GPPB_TSO shall adopt and employ "draw lots" as the tie-breaking method to finally determine the single winning provider in accordance with GPPB Circular 06-2005
10. **Payment shall be processed after delivery and upon the submission of the required supporting documents, in accordance with the existing accounting rules and regulations. Please note that the corresponding bank transfer fee, if any, shall be to the contractor's account.**
11. Liquidated damages equivalent to one tenth of one percent (0.1%) of the value of the goods not delivered within the prescribed delivery period shall be imposed for day of delay. The SEC shall rescind the contract once the commutative amount of liquidated damages reaches ten percent (10%) of the amount of the contract, without prejudice to other courses of action and remedies open to it.

Signature over Printed Name
Position/Designation

**AUTHORITY OF SIGNATORY
(For Corporation)**

I, (Name of Corporate Secretary), of legal age, Filipino, with business address at _____, after being duly sworn to in accordance with law do hereby depose and state:

That I am the duly elected Corporate Secretary of (Name of Corporation), a corporation duly organized and existing under and by virtue of applicable Philippine laws:
That at the special meeting of the Board of Directors of the said corporation, duly called and held at the principal office on (Date of Meeting) at which a quorum was presented and voting throughout the following resolution was unanimously approved to wit:

“RESOLVED, any of the following whose specimen signature/initials and copy of acceptable government issued identification cards with signatures as attachment, is/are authorized to sign in behalf of the Corporation, to submit documents and represent solely for the purpose of complying with the (Name of Project).

NAME	ID WITH SIGNATURE PRESENTED	SPECIMEN SIGNATURE	SPECIMEN INITIALS

IN WITNESS WHEREOF, I have hereunto affixed my signature this _____ day of _____ at _____.

PRINTED NAME/SIGNATURE
CORPORATE SECRETARY

SUBSCRIBED AND SWORN TO before me in the City of _____ this ____ day of _____ by (Name of Corporate Secretary) who has satisfactorily proven to me his/her identity through his/her (Identification Card presented), that he/she is the same person who personally signed before me the foregoing Affiant and acknowledged that he/she executed the same.

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AUTHORITY OF SIGNATORY
(For Sole Proprietor/Partnership)

I, (Name Owner/Managing Partner), of legal age, [civil status], Filipino, and residing at [address of affiant], afterhaving been duly sworn in accordance with law do hereby depose and state that:

1.

I am the Sole Proprietor/Managing Partner of [Name of Bidder], with office address at [Address of Bidder];
2.

As the Sole Proprietor/Managing Partner of [Name of Bidder], I have full power and authority to do, execute and perform any and all acts necessary to represent it in the bidding for [Name of the Project] of the [Name of the Procuring Entity];
3.

As the Sole Proprietor/Managing Partner of [Name of Bidder], I authorized [Name of Representative] whose specimen signature/initials and copy of acceptable government issued identification cards with signatures as clearly shown in attachment, is authorized to sign in behalf of the [Name of Bidder], to submit documents and represent solely for the purpose of complying with the bidding of [Name of Project]

NAME	ID WITH SIGNATURE PRESENTED	SPECIMEN SIGNATURE	SPECIMEN INITIALS

IN WITNESS WHEREOF, I have hereunto affixed my signature this_____day of_____at _____.

PRINTED NAME/SIGNATURE

SUBSCRIBED AND SWORN TO before me in the City of_____this_____day of _____by (Name of Corporate Secretary) who has satisfactorily proven to me his/her identity through his/her (Identification Card presented), that he/she is the same person who personally signed before me the foregoing Affiant and acknowledged that he/she executed the same.

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