SECURITIES AND EXCHANGE COMMISSION

ALTERNATIVE TRADING SYSTEM (ATS) CHANGE IN THE SYSTEM REPORT (ATS FORM 5)

GENERAL INSTRUCTIONS

- 1. The ATS Form 5 shall be submitted by operator of the ATS within ten (10) calendar days before change in the System.
- 2. This form shall be signed by the ATS Operator's principal executive officer/president and IT personnel/officer.
- 3. The name of the person who signs this form shall be typed or printed beneath his signature. Any person who occupies more than one of the specified positions shall indicate the capacity in which he signs the registration statement. At least one copy of the documents filed shall be manually signed and the unsigned copies shall be conformed.
- 4. Incorporation by reference

Any information required in the ATS Form may incorporated by reference by indicating the exhibit number in which the information may be found. Each exhibit should be signed by the authorized officer of the registrant.

5. Exhibits

For each Exhibit, include the name of the applicant/ATS, the date of filing of the Exhibit and the date as of which the information is accurate (if different from the date of the filing). If any Exhibit required is inapplicable, a statement to that effect shall be furnished instead of such Exhibit.

If the applicant/ATS files an amendment to the information provided in its filing and the information relates to an Exhibit filed with the filing of a subsequent amendment, it must provide a description of the change and file a complete and updated Exhibit.

6. Five copies of the complete ATS Form 5 shall be filed, including exhibits and all other papers and documents filed as part thereof.

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1.	SEC Identification Number
2.	Exact name of company as specified in its charter
3.	Province, country or other jurisdiction of BIR Tax Identification Number incorporation or organization
4.	General character of business of the registrant
5.	Industry Classification Code: (SEC Use Only)
6.	Address, including postal code, telephone number, FAX number including area code, of registrant's principal offices
7.	Contact Employee
	Name/Position Telephone No. Email Address

SECURITIES AND EXCHANGE COMMISSION

ALTERNATIVE TRADING SYSTEM (ATS) CHANGE IN THE SYSTEM REPORT (ATS FORM 5)

- 1. Date ATS proposes to change its System:
- 2. Please check the appropriate box:
 - the change in the System is substantial
 - the change in the System is not substantial
- 3. Provide the following information:
 - 3.1 The reason/s for change in the System;
 - 3.2 Description of the changes in the System;
 - 3.3 Resources needed for the proposed change;
 - 3.4 The effect of the change in the trading activities, rights of subscribers and other aspects of the ATS operation.
- 4. Exhibit

If the proposed change in the System is substantial, a Board Resolution authorizing the change must be submitted with this report.

SIGNATURE

By:

Principal Executive Officer/President

IT Personnel/Officer

SUBSCRIBED AND SWORN to before me this ____ day of _____ 200___ affiant. exhibiting to me his/their Residence Certificate with _____ issued at _____ on _____.

Notary Public