

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR NOVEMBER 2013

| # | CR # | NAME | TYPE |
|--------------------------------|---------------|---|------|
| <u>INVESTMENT HOUSE</u> | | | |
| <u>01-2008-00226</u> | | <u>INTERNATIONAL CAPITAL CORPORATION</u> | |
| 1 | 02-2013-08464 | DEE, MA. GEMMA MARQUEZ | CO |
| <u>01-2008-00238</u> | | <u>UBS INVESTMENTS PHILIPPINES, INC.</u> | |
| 1 | 02-2013-08606 | CACHO, LORENA INOCENCIO | CO |