

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET INSTITUTIONS**

NEW REGISTRANTS FOR MARCH 2014

BROKER/DEALER IN SECURITIES		
#	CR NO.	NAME OF COMPANY
1	01-2004-00004	AB CAPITAL SECURITIES INC.
		• SAN JUAN CITY BRANCH
2	01-2004-00027	BPI SECURITIES CORPORATION
		• CEBU CITY BRANCH