

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR JANUARY 2014

#	CR #	NAME	TYPE
<u>BROKER/DEALER in Securities</u>			
<u>01-2004-00027</u>		<u>BPI SECURITIES CORPORATION</u>	
1	02-2013-07959	LALAGUNA, MA. SHERDAN DE GUZMAN	AP
<u>01-2004-00131</u>		<u>THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION</u>	
1	02-2014-08673	ASIS, ANA MARIE QUINTOS	S
<u>01-2004-00139</u>		<u>UNICAPITAL SECURITIES, INC.</u>	
1	02-2011-05774	NGO, KRISTOFFER LLOYD GAW	S
<u>01-2004-00147</u>		<u>YAO & ZIALCITA, INC.</u>	
1	02-2014-08676	MONTEJO, THOM HIGGINS MELLA	S