

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR APRIL 2014**

#	CR #	NAME	TYPE
<b>BROKER/DEALER in Securities</b>			
<b>01-2004-00011</b>		<b>ANGPING &amp; ASSOCIATES SECURITIES, INC.</b>	
1	02-2014-08956	HUANG, ALLEN ALBERT NOCOM	S
<b>01-2004-00035</b>		<b>CLSA PHILIPPINES, INC.</b>	
1	02-2014-09031	BORROMEO, CARLO RAPHAEL CHUA	S
<b>01-2004-00033</b>		<b>COL FINANCIAL GROUP, INC.</b>	
1	02-2004-00741	SANTOS, ANDRE CHRISTIAN BAUTISTA	S
2	02-2014-09072	VILLAYER, VENUS EMPACES	S
<b>01-2004-00095</b>		<b>FIRST METRO SECURITIES BROKERAGE CORPORATION</b>	
1	02-2009-03362	CRUZ, MARK JOSEPH BUNGAY	S
<b>01-2004-00097</b>		<b>NIEVES SECURITIES, INC.</b>	
1	02-2004-00012	AGUIRRE, ARNOLD MARASIGAN	S
2	02-2009-03501	KO, CHRISTINE DARA KHO	S
<b>01-2004-00109</b>		<b>SALISBURY BKT SECURITIES CORPORATION</b>	
1	02-2014-09032	DIARON, RONABIL MENDOZA	AP
<b>01-2004-00121</b>		<b>SB EQUITIES, INC.</b>	
1	02-2004-01574	ILAGAN, MARITES DULOG	S
<b>01-2012-00276</b>		<b>STAR ALLIANCE SECURITIES CORP.</b>	
1	02-2012-07478	INGCOCO, JUDY PARREÑAS	S
<b>01-2004-00144</b>		<b>WEALTH SECURITIES, INC.</b>	
1	02-2014-09073	ANDRES IV, CONRADO IGNACIO	S