

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR JULY 2014**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>UNDERWRITERS OF SECURITIES</u></b>			
<b><u>ENGAGED IN DEALING GOVERNMENT SECURITIES</u></b>			
<b><u>01-2009-00255</u></b>		<b><u>AUSTRALIA AND NEW ZEALND BANKING GROUP LIMITED</u></b>	
1	02-2012-06681	LEE, ARMSTRONG LUTHER SO	FIMS
2	02-2011-05530	TIU, JAN JEFFERSON ONG	FIMS
<b><u>01-2008-00255</u></b>		<b><u>CHINA BANKING CORPORATION</u></b>	
1	02-2014-09410	ANTONIO, MARIA CRISTINA GOMEZ	FIMS
2	02-2014-09409	TAN, JANICE BALAGTAS	FIMS