

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR SEPTEMBER 2014**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>BROKER/DEALER in Securities</u></b>			
<b><u>01-2004-00005</u></b>		<b><u>ABACUS SECURITIES CORPORATION</u></b>	
1	02-2014-09606	CAAMPUED, JOSHUA SALAMAT	S
<b><u>01-2004-00027</u></b>		<b><u>BPI SECURITIES CORPORATION</u></b>	
1	02-2014-09568	GANGCUANGCO, MARK ANTHONY CANLAS	S
2	02-2004-00662	PATAG, CARISSA JUNIO	S
<b><u>01-2004-00034</u></b>		<b><u>CITISECURITIES, INC.</u></b>	
1	02-2014-09607	LIM, EVITA PHOEBE CRUZ	S
2	02-2014-09608	MERCADO, RASTINE MACKIE DORADO	S
<b><u>01-2004-00035</u></b>		<b><u>CLSA PHILIPPINES, INC.</u></b>	
1	02-2014-09567	SULLIVAN, THOMAS SEAN	S
<b><u>01-2004-00033</u></b>		<b><u>COL FINANCIAL GROUP, INC.</u></b>	
1	02-2014-09706	BORJA, PATRICIA SUAREZ	S
2	02-2014-09622	FAUSTO, MARVIN VALENTON	S
<b><u>01-2004-00073</u></b>		<b><u>IMPERIAL, DE GUZMAN, ABALOS &amp; CO., INC.</u></b>	
1	02-2014-09678	DE GUZMAN, APRIL PANILAG	S
<b><u>01-2004-00019</u></b>		<b><u>MAYBANK ATR KIM ENG SECURITIES, INC.</u></b>	
1	02-2014-09564	LEYVA, KIM CRISHA DELIJA	S
<b><u>01-2004-00104</u></b>		<b><u>PHILIPPINE EQUITY PARTNERS, INC.</u></b>	
1	02-2014-09565	ZAMORA, RUBEN LLENADO	S
<b><u>01-2004-00124</u></b>		<b><u>SINCERE SECURITIES CORPORATION</u></b>	
1	02-2014-09566	DE RAMOS, MARK ARTHUR SALAYSAY	S
2	02-2012-06496	SAN PEDRO, CRISTOPHER ADRIAN TABAFUNDA	S
<b><u>01-2010-00257</u></b>		<b><u>SUNSECURITIES INC.</u></b>	
1	02-2014-09609	LAPINA, MARTIN SIMMON SARINAS	S
<b><u>01-2013-00277</u></b>		<b><u>TIMSON SECURITIES, INC.</u></b>	
1	02-2004-00012	AGUIRRE, ARNOLD MARASIGAN	S
<b><u>01-2004-00137</u></b>		<b><u>UBS SECURITIES PHILIPPINES, INC.</u></b>	
1	02-2014-09707	MONTEMAYOR, MICHAEL DAVID CUBACUB	S
<b><u>01-2004-00139</u></b>		<b><u>UNICAPITAL SECURITIES, INC.</u></b>	
1	02-2014-09705	HIZON, PAOLO ANGELO CALLADO	S