

COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS

NEW REGISTRANTS FOR SEPTEMBER 2014

| #                                  | CR #          | NAME                                    | TYPE |
|------------------------------------|---------------|---|------|
| <b><u>BROKER IN SECURITIES</u></b> |               |   |      |
| <b><u>01-2004-00038</u></b>        |               | <b><u>DA MARKET SECURITIES INC.</u></b> |      |
| 1                                  | 02-2014-09672 | MACARAYA, ROMEO POLISTICO               | S    |
| 2                                  | 02-2014-09673 | RAMOS, CHRISTIAN CODILANA               | S    |
|                                    |               |   |      |