

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR SEPTEMBER 2014**

| <b>#</b>                           | <b>CR #</b>   | <b>NAME</b>                                 | <b>TYPE</b> |
|------------------------------------|---------------|---------------------------------------------|-------------|
| <b>INVESTMENT COMPANY ADVISERS</b> |               |                                             |             |
| <b>01-2004-00151</b>               |               | <b>BPI INVESTMENT MANAGEMENT, INC.</b>      |             |
| 1                                  | 02-2014-09569 | MORALES, JESSALLEE CASTRO                   | CO          |
| 2                                  | 02-2014-09702 | GATAPIA, KIM GIA GRANDE                     | CIS         |
| 3                                  | 02-2014-09704 | KESWANI, SHANTI TAN                         | CIS         |
| 4                                  | 02-2014-09703 | REDUBLADO, MARJORIE SINGIDAS                | CIS         |
| <b>01-2008-00249</b>               |               | <b>FIRST METRO ASSET MANAGEMENT, INC.</b>   |             |
| 1                                  | 02-2014-09675 | AVANCEÑA, GLICERIO MAARAT                   | CIS         |
| 2                                  | 02-2014-09676 | TORRES, GLADYS AMHERSTIA GENDRANO           | CIS         |
| <b>01-2004-00162</b>               |               | <b>GREPALIFE ASSET MANAGEMENT CORP.</b>     |             |
| 1                                  | 02-2014-09674 | GREGORIO, CONCHITINA DE LEON                | CO          |
| <b>01-2004-00155</b>               |               | <b>PHILAM ASSET MANAGEMENT, INC.</b>        |             |
| 1                                  | 02-2014-09649 | ADOLFO, MA. TERESA CAMPOS                   | CIS         |
| 2                                  | 02-2014-09650 | AGUILERA, RAISSA ROA LITAN                  | CIS         |
| 3                                  | 02-2014-09651 | AKASAKA, MA. PAMELA JOY OCAMPO              | CIS         |
| 4                                  | 02-2010-04977 | AURELLADO, MACRINA PRECILLA BALMARADES      | CIS         |
| 5                                  | 02-2014-09592 | BALTERO, RIO DE ASIS                        | CIS         |
| 6                                  | 02-2014-09690 | BANDALA, CEASAR IAN GATAB                   | CIS         |
| 7                                  | 02-2014-09593 | BARBOSA, GLORIA BELENO                      | CIS         |
| 8                                  | 02-2014-09594 | BEDURAL, ELIZA MANTALABA                    | CIS         |
| 9                                  | 02-2014-09691 | BOTONA, RUENA JAECTIN                       | CIS         |
| 10                                 | 02-2014-09595 | BULLO, DENNIS SALDEMI                       | CIS         |
| 11                                 | 02-2014-09652 | CALINGO, MARY ANN PANIS                     | CIS         |
| 12                                 | 02-2014-09653 | CANALETA, EUGENE PEGA                       | CIS         |
| 13                                 | 02-2014-09654 | CASTROVERDE, LEILANI CASTILLO               | CIS         |
| 14                                 | 02-2014-09680 | CATAPANG, ANNA KATRINA TOLEDO               | CIS         |
| 15                                 | 02-2014-09596 | CLET, JANET TRANGIA                         | CIS         |
| 16                                 | 02-2014-09601 | DE GUZMAN, ALLAN EDRALIN                    | CIS         |
| 17                                 | 02-2014-09692 | DELA CRUZ, JORDAN LAM                       | CIS         |
| 18                                 | 02-2014-09660 | DIMAYUGA, MARIE ANTONETTE ABIGAIL SALIENTES | CIS         |
| 19                                 | 02-2014-09602 | ESCUTON, YOLANDA AMAR                       | CIS         |
| 20                                 | 02-2014-09681 | EVASCO, GIAN KARLO AGBULOS                  | CIS         |
| 21                                 | 02-2014-09655 | EVASCO, MA. ESPERANZA AGBULOS               | CIS         |
| 22                                 | 02-2014-09693 | FERRER, MARIANNE RAQUEL ALAESTANTE          | CIS         |
| 23                                 | 02-2014-09686 | GALAGARAN, VIRGINIA MADERA                  | CIS         |
| 24                                 | 02-2014-09694 | GAN, LEAH PEREZ                             | CIS         |
| 25                                 | 02-2014-09682 | GUTIERREZ, FE TAN                           | CIS         |
| 26                                 | 02-2014-09656 | GUTIERREZ, ROSALINDA MECENAS                | CIS         |
| 27                                 | 02-2014-09489 | HUANG, MA. SUSANA CORAZON LIZARES           | CIS         |
| 28                                 | 02-2014-09695 | JANULGUE, MA. DIVINA PARAZ                  | CIS         |

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| <b>INVESTMENT COMPANY ADVISERS</b>             |               |                                    |             |
| 29                                             | 02-2014-09696 | LAO, EDMUND YAO                    | CIS         |
| 30                                             | 02-2009-04280 | LITTON, MARIE CHRISTINE CRUZ       | CIS         |
| 31                                             | 02-2014-09597 | MAAGAD, AGNELL MARI GAMONES        | CIS         |
| 32                                             | 02-2014-09657 | MAMIIT, SOLEDAD MENDOZA            | CIS         |
| 33                                             | 02-2014-09598 | MANANSALA, ROWENA BRAZA            | CIS         |
| 34                                             | 02-2014-09658 | MANLAPAS, MARGARET GUERRERO        | CIS         |
| 35                                             | 02-2014-09687 | MANLIGUEZ, BELINDA JUARBAL         | CIS         |
| 36                                             | 02-2014-09599 | MARAÑON, CHARISSE HIPONIA          | CIS         |
| 37                                             | 02-2014-09603 | MOLINA, LORELEI DELA CRUZ          | CIS         |
| 38                                             | 02-2014-09688 | MONTEMAYOR, LIZA TAN               | CIS         |
| 39                                             | 02-2014-09697 | MUNDA, MARLON ENRIQUEZ             | CIS         |
| 40                                             | 02-2014-09698 | NACHURA, ANTONIO EDUARDO SISON     | CIS         |
| 41                                             | 02-2014-09659 | PADILLA, AGNES MENDOZA             | CIS         |
| 42                                             | 02-2014-09683 | POBLADOR, NOEMI MATTA              | CIS         |
| 43                                             | 02-2011-05399 | POSADAS, MARIA LUISA KEYSER        | CIS         |
| 44                                             | 02-2014-09604 | QUIMPO, LUZVIMINDA ESPANOLA        | CIS         |
| 45                                             | 02-2014-09600 | RAMOS, MARK ANTHONY SANTIAGO       | CIS         |
| 46                                             | 02-2014-09689 | RAQUITICO, GENEVIEVE ANGELI JAVIER | CIS         |
| 47                                             | 02-2014-09605 | RIBANO, LEIZEL LIM                 | CIS         |
| 48                                             | 02-2014-09662 | SANTELICES, GAILWEISS TRIA         | CIS         |
| 49                                             | 02-2014-09699 | TABLAN, MARIEL CHUACUCO            | CIS         |
| 50                                             | 02-2014-09661 | TAN, EURICA CALUBAG                | CIS         |
| 51                                             | 02-2014-09700 | TAYABAN, MELISA HIMMOLDANG         | CIS         |
| 52                                             | 02-2014-09701 | TIANGCO, LILY GRACE ANDAYA         | CIS         |
| 53                                             | 02-2014-09663 | TONGSON, GINO ALFONSO RITUMALTA    | CIS         |
| 54                                             | 02-2014-09684 | VENTURA, JAY MARK PERCIBIGAN       | CIS         |
| 55                                             | 02-2014-09685 | VILLAVERDE, EVANGELINE PRADES      | CIS         |
| 56                                             | 02-2014-09151 | YU, BEATRIZ YEPES                  | CIS         |
| <b>01-2004-00156</b>                           |               |                                    |             |
| <b>PHILEQUITY MANAGEMENT, INC.</b>             |               |                                    |             |
| 1                                              | 02-2014-09461 | PASCUAL, HAIDEE MALIHAN            | CIS         |
| <b>01-2004-00157</b>                           |               |                                    |             |
| <b>SUN LIFE ASSET MANAGEMENT COMPANY, INC.</b> |               |                                    |             |
| 1                                              | 02-2014-09632 | ABELLA, MARIA FLODELIZA RUBIO      | CIS         |
| 2                                              | 02-2014-09623 | ABLANG, VIRGINIA PALMONES          | CIS         |
| 3                                              | 02-2014-09570 | ABOGA, MARIETTA AGUJA              | CIS         |
| 4                                              | 02-2014-09633 | AGUINALDO, KEVIN MICHAEL LANTIN    | CIS         |
| 5                                              | 02-2014-09571 | AGUSTIN, ROWENA ZAMORA             | CIS         |
| 6                                              | 02-2014-09634 | ALFORQUE BRYAN JEDE RYLE LAUGO     | CIS         |
| 7                                              | 02-2014-09615 | ALON, JUNIE BATAYOLA               | CIS         |
| 8                                              | 02-2014-09635 | AQUINO, ADRIAN MARKO TADIQUE       | CIS         |

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| 9                                  | 02-2014-09616 | ARZADON, ELEAZAR TIPAY             | CIS         |
| 10                                 | 02-2014-09552 | BALINO, ALMIRA CARESOSA            | CIS         |
| 11                                 | 02-2014-09572 | BARET, AARON QUIBUYEN              | CIS         |
| 12                                 | 02-2014-09530 | BATILONG, SHEILA MARIE CABALIT     | CIS         |
| 13                                 | 02-2014-09561 | BATOCTOY, CATHERINE BARDINAS       | CIS         |
| 14                                 | 02-2014-09573 | BERNABE, ARIANE ONTUCA             | CIS         |
| 15                                 | 02-2014-09574 | BOSOEN, MARIE FE APSING            | CIS         |
| 16                                 | 02-2014-09575 | BUMANLAG, RICHARD SANCHEZ          | CIS         |
| 17                                 | 02-2014-09626 | CABABAT, CORAZON ARANCON           | CIS         |
| 18                                 | 02-2014-09636 | CHA, BENJIE BERCASIO               | CIS         |
| 19                                 | 02-2014-09637 | CHUA, BENNY CHUA                   | CIS         |
| 20                                 | 02-2014-09617 | CLETO, SHARON ANTIGO               | CIS         |
| 21                                 | 02-2014-09576 | CONSTANTINO, LOUIESSA LIVIA VALE   | CIS         |
| 22                                 | 02-2014-09577 | COSICO, MARK ANTHONY MARTINEZ      | CIS         |
| 23                                 | 02-2014-09578 | DE SAN JOSE, RICARDO GUTIERREZ     | CIS         |
| 24                                 | 02-2014-09627 | DUHAYLUNGSOD, CHARMAGNE DAMONDAMON | CIS         |
| 25                                 | 02-2014-09628 | DUHAYLUNGSOD, DELILAH DAMONDAMON   | CIS         |
| 26                                 | 02-2014-09638 | DY, KARL HENRICK COME              | CIS         |
| 27                                 | 02-2014-09610 | EGALLA, FREDERICK CASTILLO         | CIS         |
| 28                                 | 02-2014-09553 | EVANGELISTA, DERWIN DELA TORRE     | CIS         |
| 29                                 | 02-2014-09639 | FABIAN, MARY ANN PORCIUNCULA       | CIS         |
| 30                                 | 02-2014-09624 | FERNANDEZ, ROLAND APARIS           | CIS         |
| 31                                 | 02-2014-09640 | FUERTES, CARMILLE CRUZ             | CIS         |
| 32                                 | 02-2014-09579 | GALANG, ALVIN DEXTER CONANAN       | CIS         |
| 33                                 | 02-2014-09665 | GALVEZ, JOSE RAFAEL MOSOT          | CIS         |
| 34                                 | 02-2014-09641 | GORORDO, MARIA KATRINA SOLANO      | CIS         |
| 35                                 | 02-2014-09629 | GRANADA, IVY JENNIFER MANGLANLAN   | CIS         |
| 36                                 | 02-2014-09554 | HITOSIS, CARMELA GRAJO             | CIS         |
| 37                                 | 02-2014-09555 | INITAY, JOAHNA CABALIT             | CIS         |
| 38                                 | 02-2014-09611 | JAVILLO, EVER JEAN APAN            | CIS         |
| 39                                 | 02-2014-09580 | KOMICHO, MARGARET NGERANGER        | CIS         |
| 40                                 | 02-2014-09581 | LAMPAZ, FRANCES THERESE ZARATE     | CIS         |
| 41                                 | 02-2014-09582 | LICTAO, GENELYN API-IT             | CIS         |
| 42                                 | 02-2014-09583 | LIGOS, NORMAN CADCADAP             | CIS         |
| 43                                 | 02-2014-09642 | LIM, FREDERICK DELOS REYES         | CIS         |
| 44                                 | 02-2014-09584 | LINSANGAN, MOSES JAUCIAN           | CIS         |
| 45                                 | 02-2007-02164 | LLAGAS, MARY FLODELIZA TAGALICUD   | CIS         |
| 46                                 | 02-2014-09666 | MIRANDA, MA. HAZEL PEÑAREDONDO     | CIS         |
| 47                                 | 02-2014-09618 | NG, BELMA LIM                      | CIS         |
| 48                                 | 02-2014-09556 | ORENDAIN, CRISTINA GUDAO           | CIS         |
| 49                                 | 02-2014-09643 | PADILLA, IVI ORTIZ                 | CIS         |
| 50                                 | 02-2014-09625 | PALIMA, RANDY MAGHARI              | CIS         |

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| 51                                 | 02-2014-09667 | PAYLADO, ANGELINE TARCILA MIAGA  | CIS         |
| 52                                 | 02-2014-09585 | PEREGRINA, EDGARD MANUEL         | CIS         |
| 53                                 | 02-2014-09612 | PIEDAD, MARK ROLYN PUEBLAS       | CIS         |
| 54                                 | 02-2014-09644 | PINEDA, SAMUEL PATRICK SAMANIEGO | CIS         |
| 55                                 | 02-2014-09630 | PORRAS, ANGEL ENRICO ALEDIA      | CIS         |
| 56                                 | 02-2014-09613 | POSELERO, MAY GARCIA             | CIS         |
| 57                                 | 02-2014-09557 | PURA, GERSHOM ALMOITE            | CIS         |
| 58                                 | 02-2014-09558 | RAMOS, MARILOU BUTALID           | CIS         |
| 59                                 | 02-2014-09645 | ROSALES, MARIA CECILIA TRAJE     | CIS         |
| 60                                 | 02-2014-09619 | SANGALANG, MARIVIC VALLEJOS      | CIS         |
| 61                                 | 02-2014-09668 | SANGALANG, MICHAEL JOSEPH DOTE   | CIS         |
| 62                                 | 02-2014-09646 | SEE, RACHEL ANNE URAG            | CIS         |
| 63                                 | 02-2014-09669 | SERRANO, NICA FIGUEROA           | CIS         |
| 64                                 | 02-2014-09614 | SIBUNGA, ROCHELLE ANN BACUS      | CIS         |
| 65                                 | 02-2014-09586 | SIGUENZA, ANNA LIZA LORENZANA    | CIS         |
| 66                                 | 02-2014-09587 | SINFUEGO, MARY GRACE CRISTOBAL   | CIS         |
| 67                                 | 02-2014-09588 | SOLOMON, JAYSON CRUZ             | CIS         |
| 68                                 | 02-2014-09670 | SUPERABLE, JANET DELA ROSA       | CIS         |
| 69                                 | 02-2014-09671 | TAIÑO, MARIA LUISA PLACIDO       | CIS         |
| 70                                 | 02-2014-09589 | TEODOSIO, MYRNA MAUHAY           | CIS         |
| 71                                 | 02-2014-09647 | TRILLANES, RAINIER JAYME         | CIS         |
| 72                                 | 02-2014-09559 | VALENCIA, ETHELIE LOCARIÑAS      | CIS         |
| 73                                 | 02-2014-09560 | VILLACERAN, NANETTE JALOSJOS     | CIS         |
| 74                                 | 02-2014-09631 | VILLAHERMOSA, NETHEL GAKO        | CIS         |
| 75                                 | 02-2014-09648 | VILLANUEVA, MICHAEL TAYAO        | CIS         |
| 76                                 | 02-2014-09620 | VILLORDON, LEONICE DIANA SAYO    | CIS         |
| 77                                 | 02-2014-09590 | WADINGAN, DON RICH BALIGA        | CIS         |
| 78                                 | 02-2014-09591 | YOUNG, ALVAN MUSNGI              | CIS         |
| 79                                 | 02-2014-09621 | YU, KATHLEEN MICHELLE TEE        | CIS         |
|                                    |               |                                  |             |