

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**RENEWAL REGISTRANTS FOR 2014**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>BROKER IN SECURITIES</u></b>			
<b><u>01-2004-00001</u></b>		<b><u>A &amp; A SECURITIES, INC.</u></b>	
1	02-2013-08352	GO, ZHAINEE ROSE SUNICO	AP
2	02-2004-00076	BANGAYAN, SHIRLEY YOUNG	S
3	02-2004-00077	BANGAYAN, WILSON ALVAREZ	S
4	02-2004-00087	BATE, CESAR LUIS FABELLA	S
5	02-2004-00131	CALMA, JOYSEN SOPHIA TAGUBA	S
6	02-2004-00308	ELA JR, TEODORO SISON	S
7	02-2004-00341	GAN, RUBEN MANIEGO	S
8	02-2004-00376	GO, CLARIBEL RODRIGUEZ	S
9	02-2004-01611	MALABANAN, DON SORIANO	S
10	02-2004-00714	RODRIGUEZ SR, LUCIO ANG	S
11	02-2004-00715	TAN, SUSANA CHUA	S
12	02-2004-00955	ZAFRA, HELEN YOUNG	S
13	02-2004-00956	ZAFRA, JOSE ILANO	S
<b><u>01-2004-00015</u></b>		<b><u>ASIA PACIFIC CAPITAL EQUITIES AND SECURITIES CORPORATION</u></b>	
1	02-2012-07181	MANGABAT, ELENA ALVIS	AP
2	02-2012-06917	SAN GABRIEL, ELMER LOZA	AP
3	02-2004-00124	CABOCHENG, JANET GO	S
4	02-2004-00178	CHUA, ANTHONY ONG	S
5	02-2004-00179	CHUA, DAVID ONG	S
6	02-2004-00237	CUA, MARIANO TY	S
7	02-2004-00239	CUA, MICHAEL ONG	S
8	02-2011-06158	MAYUGA JR., MARIO FANDINO	S
9	02-2004-00632	ONG, PATERNO HONG	S
10	02-2004-00774	SO KUA, JOAN GO	S
11	02-2004-00880	VALENTIN, CARL IANCH VISCOUNT WEE	S
<b><u>01-2004-00031</u></b>		<b><u>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.</u></b>	
1	02-2007-02227	LIPANA, KATHERINE TEVES	AP
2	02-2010-04503	ACTA, MARIA CRISTINA DECENA	FIMS
3	02-2011-05619	ALMEDA, FRANCES RUTH AMARGA	FIMS
4	02-2012-06653	AVELINO, DIOSIE CLAINE DUATIN	FIMS
5	02-2009-03211	DEL ROSARIO, MARIA PERPETUA MANANSALA	FIMS
6	02-2013-08594	ODULIO, ENA VICTORIA SANSON	FIMS
7	02-2007-02229	OLONAN, MINDA CLAVER AGANAN	S

8	02-2009-02877	PANTANGCO, PETER ANTHONY YUMUL	FIMS
9	02-2009-02996	ZAGALA, JEANETTE YVONNE MANGABAT	FIMS
<b>01-2004-00038</b>		<b><u>DA MARKET SECURITIES, INC.</u></b>	
1	02-2004-00129	CALING, FE MIRANDA	AP
2	02-2004-00007	AGUILA, NESTOR SARMIENTO	S
3	02-2008-02536	ALICER, NISHA MA. NIMFA SENO	S
4	02-2012-07329	CLAPAROLS, JOSE ALEJANDRO PANLILIO	S
5	02-2004-00906	VITAL, VILLAMOR DE GUZMAN	S
<b>01-2004-00039</b>		<b><u>DAVID GO SECURITIES CORPORATION</u></b>	
1	02-2004-00383	GO, ELEANOR CHENG	AP
2	02-2004-00099	BERGONIO, GLORIA BADONG	S
3	02-2004-00174	CHUA, NICASIO GO	S
4	02-2008-02508	GAN, JEREMY CHING	S
5	02-2004-00372	GO, DAVID CHUA	S
6	02-2004-00510	LICHAUCO JR, LUIS NIEVA	S
7	02-2006-02038	LICHAUCO, MIGUEL LUIS ECHAUZ	S
8	02-2004-00856	TORZAR, JULITO TESORERO	S
<b>01-2004-00075</b>		<b><u>INTRA-INVEST SECURITIES, INC.</u></b>	
1	02-2009-02837	ANCHETA, MA. VICTORIA GUEVARA	AP
2	02-2008-02696	SAN JOAQUIN, MARK ANTHONY DEL ROSARIO	AP
3	02-2004-00021	ALMONTE, FRANCISCO MURILLO	S
4	02-2004-00106	BONUS, LEONARDO GARON	S
5	02-2004-00196	CLAUDIO, ERICO CASTILLO	S
6	02-2012-07474	DE JESUS, PAOLO LATAYAN	S
7	02-2004-00338	GAMBOA, JAMES ANTHONY ARANETA	S
<b>01-2004-00096</b>		<b><u>NEW WORLD SECURITIES CO., INC.</u></b>	
1	02-2004-00466	KO, LILY CHOA	AP
2	02-2004-00171	CHU, JAMES YANG	S
3	02-2004-00170	CHU, JEANETTE YANG	S
4	02-2004-00464	KO, KING SY	S
5	02-2004-00889	VELASQUEZ, RONALD DELA PENA	S
<b>01-2004-00130</b>		<b><u>TANSENGCO &amp; COMPANY, INC.</u></b>	
1	02-2004-00829	TANSENGCO, RAYMOND CHOA	AP
2	02-2004-00023	ALUMNO, LORENZO QUIMSON	S
3	02-2004-00150	CASTAÑO, JOAQUIN MATA	S
4	02-2004-00830	TANSENGCO, CARMEN ONG	S

<b>01-2004-00148</b>		<b><u>YAPSTER E-TRADE, INC.</u></b>	
1	02-2009-02805	YAP, CHASE LEONARD SO	AP
2	02-2004-00155	CERDENIA, MARY GRACE CRISOSTOMO	S
3	02-2004-00931	YAP, ABIGAIL KING	S