

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR JANUARY 2015
BROKER/DEALER IN SECURITIES**

#	CR #	NAME	TYPE
01-2004-00007		ACCORD CAPITAL EQUITIES CORPORATION	
1	02-2015-10110	BANDA, JEROME OYAM	S
2	02-2013-07717	CABRERA, CARL DAVID ENRIQUEZ	S

01-2004-00011		ANGPING & ASSOCIATES SECURITIES, INC.	
1	02-2009-04035	LIM, AUSTINE AGGIE TAN	S

01-2004-00065		GUILD SECURITIES, INC.	
1	02-2015-10108	ROMAN, CARLO JOSEPH AVECILLA	S

01-2004-00068		HDI SECURITIES, INC.	
1	02-2015-10102	LIM, SARAH JANE YOUNG	S
2	02-2015-10101	PAGADUAN, JOY PAUL LOPEZ	S

01-2004-00144		WEALTH SECURITIES, INC.	
1	02-2015-10105	HO, JOSHUA HANSEL TAN	S
2	02-2015-10104	SAY, SAMANTHA CHELSA CHINGCUANCO	S