

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**REGISTRANTS AS OF APRIL 2015
BROKER IN SECURITIES**

#	CR #	NAME	TYPE
<u>01-2004-00031</u>		<u>CITICORP FINANCIAL SERVICES</u>	
1	02-2014-09220	MALACCA, ALYSSA CAMILLE PUATU	FIMS
2	02-2009-03164	TRINIDAD, KAREN ISABEL LIMLINGAN	FIMS