

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**REGISTRANTS AS OF JUNE 2015  
BROKER IN SECURITIES**

#	CR #	NAME	TYPE
<b><u>01-2004-00031</u></b>		<b><u>CITICORP FINANCIAL SERVICES</u></b>	
1	02-2009-03201	CRUZ, SER LESTER ACUÑA	FIMS
<b><u>01-2004-00039</u></b>		<b><u>DA MARKET SECURITIES, INC.</u></b>	
1	02-2015-10648	HUANG, TRISTAN LUKE MANARPIIS	S