

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**REGISTRANTS AS OF JUNE 2015  
MUTUAL FUND DISTRIBUTOR**

#	CR #	NAME	TYPE
<b><u>01-2004-00108</u></b>		<b><u>BONDS, EQUITIES, SECURITIES AND TRADERS INC.</u></b>	
1	02-2010-04565	YUNG, PETER CHUA	CIS
<b><u>01-2004-00031</u></b>		<b><u>CITICORP FINANCIAL SERVICES AND INSURANCE CORPORATION</u></b>	
1	02-2009-03201	CRUZ, SER LESTER ACUÑA	CIS
<b><u>01-2014-00033</u></b>		<b><u>COL FINANCIAL GROUP, INC.</u></b>	
1	02-2015-10692	TAN, ALAN NG	CIS