

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**REGISTRANTS FOR JULY 2015
BROKER/DEALER IN SECURITIES**

| # | CR # | NAME | TYPE |
|-----------------------------|---------------|--|-------------|
| <u>01-2004-00022</u> | | <u>B.H. CHUA SECURITIES CORPORATION</u> | |
| 1 | 02-2015-10927 | CHUAHIOCK, MARC CHING | S |
| 2 | 02-2015-10928 | NGO, WILLIAM MALATE | S |
| <u>01-2004-00108</u> | | <u>BONDS, EQUITIES, SECURITIES AND TRADERS INC.</u> | |
| 1 | 02-2004-01755 | AMORES, PAMELA PETRALBA | AP |
| <u>01-2004-00027</u> | | <u>BPI SECURITIES CORPORATION</u> | |
| 1 | 02-2015-10806 | JAMONIL, JEFFREY GUIR | S |
| <u>01-2004-00034</u> | | <u>CITISECURITIES, INC.</u> | |
| 1 | 02-2015-10954 | ANG, NICOLE KELVIN SY | S |
| 2 | 02-2015-10955 | DANDAN, KYLE JOSHUA COLANTES | S |
| 3 | 02-2015-10956 | DEL ROSARIO V, VICENTE EDUARDO LUSTRE | S |
| 4 | 02-2015-10957 | JAVELLANA, ISAAC JAMES CONSTANTINO | S |
| 5 | 02-2015-10958 | NGO, VINCENT TING | S |
| <u>01-2004-00033</u> | | <u>COL FINANCIAL GROUP, INC.</u> | |
| 1 | 02-2015-10908 | BARRAMEDA, KEZIAH ELIKA VICENCIO | S |
| 2 | 02-2015-10837 | DIAZ, BRIAN RICHARD CAMANO | S |
| 3 | 02-2015-10218 | ILAO, MARK JASSON CUEVAS | S |
| <u>01-2004-00040</u> | | <u>DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.</u> | |
| 1 | 02-2015-10926 | MENCHACA, JUAN MIGUEL GATMAITAN | S |
| <u>01-2004-00121</u> | | <u>SB EQUITIES, INC.</u> | |
| 1 | 02-2012-07387 | GO, NORMAN JAY FRANCISCO | S |
| 2 | 02-2004-00560 | MACAPAGAL, ROMMEL RONALD MORTIZ | S |
| 3 | 02-2013-07639 | MUTYA, MARLON PEÑAMORA | S |
| <u>01-2010-00257</u> | | <u>SUNSECURITIES, INC.</u> | |
| 1 | 02-2015-10778 | NANO, MARIGENE DIASANTA | S |
| 2 | 02-2015-10777 | SORIANO, DAVID ERNEST BAUTISTA | S |
| <u>01-2004-00134</u> | | <u>TRANS-ASIA SECURITIES, INC.</u> | |
| 1 | 02-2015-10929 | DIA, MARY FRANCE SUARILLA | S |
| <u>01-2004-00141</u> | | <u>UPCC SECURITIES CORPORATION</u> | |
| 1 | 02-2015-10967 | TALAVERA, TRISTAN AMERICA | S |

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| | | | |
| | | | |
| | <u>01-2004-00142</u> | <u>VENTURE SECURITIES INC.</u> | |
| 1 | 02-2015-10960 | LAZA, BRIAN RAY CADAG | S |
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