

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR SEPTEMBER 2015  
UNDERWRITERS OF SECURITIES ENGAGED IN DEALING GOVERNMENT SECURITIES**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>01-2008-00187</u></b>		<b><u>EAST WEST BANKING COPORATION</u></b>	
1	02-2015-11220	CHENG, JOLLY ALLAN ONG	FIMS
2	02-2012-06666	SIY, JIMMY CHONG	FIMS
3	02-2015-11176	TUMAO, MARIA LEAH LAGRAMA	FIMS
<b><u>01-2008-00243</u></b>		<b><u>LAND BANK OF THE PHILIPPINES</u></b>	
1	02-2015-11221	BIEN, REYNALDO MICHAEL ROSANA	AP
<b><u>01-2008-00244</u></b>		<b><u>STANDARD CHARTERED BANK</u></b>	
1	02-2009-03508	MULIMBAYAN, MARIA CECILIA ANCHETA	S
2	02-2015-11145	SARMIENTO, KARMELA MARIE CLEMENTE	FIMS
3	02-2015-11145	SARMIENTO, KARMELA MARIE CLEMENTE	S
4	02-2015-11144	TERNIDA, BUENA KRISSA BRIONES	FIMS
5	02-2015-11144	TERNIDA, BUENA KRISSA BRIONES	S
<b><u>01-2008-00245</u></b>		<b><u>THE HONGKONG AND SHANGHAI BANKING CORPORATION-PHILIPPINE BRANCH</u></b>	
1	02-2015-10959	DIAMANTE, SHARON GRACE OSORIO	AP
<b><u>01-2008-00246</u></b>		<b><u>UNION BANK OF THE PHILIPPINES</u></b>	
1	02-2015-11175	AMBAYEC, ALITA RAMIREZ	FIMS