

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR OCTOBER 2015  
BROKER/DEALER in Securities**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>01-2004-00007</u></b>		<b><u>PHILSTOCKS FINANCIAL, INC. (FORMERLY: ACCORD CAPITAL EQUITIES CORPORATION)</u></b>	
1	02-2015-111324	NATIVIDAD, PETER PAUL TURINGAN	S
<b><u>01-2004-00068</u></b>		<b><u>HDI SECURITIES, INC.</u></b>	
1	02-2015-11242	BERNARDO, PAOLO VICENTE ARCE	S
<b><u>01-2004-00074</u></b>		<b><u>MACQUARIE CAPITAL SECURITIES (PHILIPPINES) INC.</u></b>	
1	02-2015-11315	TE PAA, THOMAS CHARLES ALAN	S
<b><u>01-2004-00106</u></b>		<b><u>PNB SECURITIES, INC.</u></b>	
1	02-2011-05775	REYES IV, REGULO GENEROSO REYNON	S
<b><u>01-2004-00115</u></b>		<b><u>RCBC SECURITIES, INC.</u></b>	
1	02-2015-11284	ZUNIGA, MARILEN BAUTISTA	AP
2	02-2015-11354	ALFONSO, FIDEL ANTONIO GANCAYCO	S
3	02-2014-09122	RACE, MARK ROME MASCARDO	S
<b><u>01-2004-00116</u></b>		<b><u>REGINA CAPITAL DEVELOPMENT CORPORATION</u></b>	
1	02-2012-06501	MARTINEZ, FERNANDO BORJA	S
<b><u>01-2004-00109</u></b>		<b><u>SALISBURY BKT SECURITIES CORPORATION</u></b>	
1	02-2006-01971	ENRIQUEZ, FERNANDO MAGNO	S
<b><u>01-2004-00127</u></b>		<b><u>STRATEGIC EQUITIES CORPORATION</u></b>	
1	02-2014-09719	GLEAN, MARIA LIZA LAIG	AP
<b><u>01-2004-00137</u></b>		<b><u>UBS SECURITIES PHILIPPINES, INC.</u></b>	
1	02-2010-04637	BUCAG, ALFONSO LUIS BAUTISTA	S
<b><u>01-2004-00141</u></b>		<b><u>UPCC SECURITIES CORPORATION</u></b>	
1	02-2015-11357	KASTEN, JACQUELINE PULIDO	AP

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<b>01-2004-00143</b>		<b><u>VICSAL SECURITIES AND STOCK BROKERAGE, INC.</u></b>	
1	02-2015-11371	GARCIA, CELESTELE THERESE SAQUIN	AP
<b>01-2004-00149</b>		<b><u>YU AND COMPANY, INC.</u></b>	
1	02-2015-11241	AGUIRRE, MICHELLE ARIOLA	S