

COMPANY REGISTRATION AND MONITORING DEPARTMENT

**LIST OF CAPITAL MARKET INSTITUTIONS
WITH CONFIRMATION OF PAYMENT OF ANNUAL FEES FOR 2016**

BROKER/DEALER in Securities

#	CR NO.	NAME OF COMPANY
1	01-2004-00002	A.T. DE CASTRO SECURITIES CORPORATION
2	01-2004-00003	AAA SOUTHEAST EQUITIES, INC.
3	01-2004-00004	AB CAPITAL SECURITIES INC. ●ALABANG, MUNTINLUPA CITY ●BINONDO, MANILA CITY ●CEBU CITY ●SAN JUAN CITY
4	01-2004-00005	ABACUS SECURITIES CORPORATION ●BINONDO, MANILA CITY ●CEBU CITY ●DAVAO CITY
5	01-2004-00008	ALAKOR SECURITIES CORPORATION
6	01-2004-00009	ALPHA SECURITIES CORPORATION
7	01-2004-00011	AP SECURITIES (FORMERLY: ANGPING & ASSOCIATES SECURITIES, INC.) ●BACOLOD CITY
8	01-2004-00013	APEX (PHILIPPINES) EQUITIES CORPORATION
9	01-2004-00014	ARMSTRONG SECURITIES, INC.
10	01-2004-00016	ASIASEC EQUITIES INC.
11	01-2004-00017	ASTRA SECURITIES CORPORATION
12	01-2004-00018	ATC SECURITIES, INC.
13	01-2004-00020	AURORA SECURITIES, INC.
14	01-2004-00021	B A SECURITIES, INC.
15	01-2004-00022	B.H. CHUA SECURITIES CORPORATION
16	01-2004-00119	BDO SECURITIES CORPORATION
17	01-2004-00024	BELSON SECURITIES, INC.
18	01-2004-00025	BENJAMIN CO CA & COMPANY, INC.
19	01-2004-00026	BERNAD SECURITIES, INC.
20	01-2004-00108	BONDS, EQUITIES, SECURITIES AND TRADERS INC.
21	01-2004-00027	BPI SECURITIES CORPORATION ●CEBU CITY
22	01-2004-00028	CAMPOS, LANUZA & COMPANY, INC.
23	01-2004-00030	CENTURY SECURITIES CORPORATION
24	01-2004-00034	CITISECURITIES, INC.
25	01-2004-00035	CLSA PHILIPPINES, INC.
26	01-2004-00036	COHERCO SECURITIES, INC.
27	01-2004-00033	COL FINANCIAL GROUP, INC.
28	01-2011-00274	CREDIT SUISSE SECURITIES (PHILIPPINES) INC.
29	01-2004-00037	CUALOPING SECURITIES CORPORATION
30	01-2004-00040	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.
31	01-2004-00041	DEUTSCHE REGIS PARTNERS, INC.
32	01-2004-00042	DIVERSIFIED SECURITIES, INC.
33	01-2004-00132	DW CAPITAL, INC.
34	01-2004-00043	E. CHUA CHIACO SECURITIES, INC.
35	01-2004-00045	EAGLE EQUITIES, INC.
36	01-2004-00046	EASTERN SECURITIES DEVELOPMENT CORPORATION
37	01-2004-00047	EASTWEST CAPITAL CORPORATION
38	01-2004-00049	EQUITIWORLD SECURITIES, INC.
39	01-2004-00050	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.

COMPANY REGISTRATION AND MONITORING DEPARTMENT

**LIST OF CAPITAL MARKET INSTITUTIONS
WITH CONFIRMATION OF PAYMENT OF ANNUAL FEES FOR 2016**

BROKER/DEALER in Securities

#	CR NO.	NAME OF COMPANY
40	01-2004-00051	F. YAP SECURITIES, INC.
41	01-2004-00052	FIDELITY SECURITIES, INC.
42	01-2004-00053	FIRST INTEGRATED CAPITAL SECURITIES, INC.
43	01-2004-00095	FIRST METRO SECURITIES BROKERAGE CORPORATION
44	01-2004-00054	FIRST ORIENT SECURITIES, INC.
45	01-2004-0059	G.D. TAN & CO., INC. ●PARAÑAQUE CITY
46	01-2004-00061	GLOBALINKS SECURITIES & STOCKS, INC.
47	01-2004-00062	GOLDEN TOWER SECURITIES AND HOLDINGS, INC.
48	01-2004-00063	GOLDSTAR SECURITIES, INC.
49	01-2004-00065	GUILD SECURITIES, INC.
50	01-2004-00066	H.E. BENNETT SECURITIES, INC.
51	01-2004-00068	HDI SECURITIES, INC.
52	01-2004-00071	I.B. GIMENEZ SECURITIES, INC
53	01-2004-00072	IGC SECURITIES, INC.
54	01-2004-00073	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.
55	01-2004-0075	INTRA-INVEST SECURITIES, INC.
56	01-2004-00076	INVESTORS SECURITIES, INC.
57	01-2004-00077	J.M. BARCELON & CO., INC.
58	01-2005-00165	J.P. MORGAN SECURITIES PHILIPPINES, INC.
59	01-2004-00078	JAKA SECURITIES CORPORATION
60	01-2004-00080	JSG SECURITIES, INC.
61	01-2004-00082	KING'S POWER SECURITIES, INC.
62	01-2004-00083	LARRGO SECURITIES CO., INC.
63	01-2004-00085	LITONJUA SECURITIES, INC.
64	01-2004-00086	LOPEZ, LOCSIN, LEDESMA & CO., INC.
65	01-2004-00087	LUCKY SECURITIES, INC.
66	01-2004-00088	LUYS SECURITIES CO., INC.
67	01-2004-00074	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.
68	01-2004-00089	MANDARIN SECURITIES CORPORATION
69	01-2004-00090	MARIAN SECURITIES, INC.
70	01-2004-00019	MAYBANK ATR KimEng Securities, Inc. (formerly: ATR KIMENG CAPITAL PARTNERS, INC.) ●CEBU CITY ●DAVAO CITY
71	01-2004-00091	MDR SECURITIES, INC.
72	01-2004-00092	MERCANTILE SECURITIES CORPORATION
73	01-2004-00093	MERIDIAN SECURITIES, INC.
74	01-2004-00094	MOUNT PEAK SECURITIES, INC.
75	01-2004-00098	OPTIMUM SECURITIES CORPORATION
76	01-2004-00099	PAN ASIA SECURITIES CORPORATION
77	01-2004-00100	PAPA SECURITIES CORPORATION
78	01-2004-00101	PCCI SECURITIES BROKERS CORPORATION
79	01-2004-00102	PCIB SECURITIES, INC.
80	01-2004-00104	PHILIPPINE EQUITY PARTNERS, INC.
81	01-2004-00103	PHIL-PROGRESS SECURITIES CORPORATION

COMPANY REGISTRATION AND MONITORING DEPARTMENT

**LIST OF CAPITAL MARKET INSTITUTIONS
WITH CONFIRMATION OF PAYMENT OF ANNUAL FEES FOR 2016**

BROKER/DEALER in Securities

#	CR NO.	NAME OF COMPANY
82	01-2004-00007	PHILSTOCKS FINANCIAL, INC (FORMERLY: ACCORD CAPITAL EQUITIES CORPORATION) ●BINONDO, MANILA CITY ●TAGUIG CITY
83	01-2004-00105	PLATINUM SECURITIES, INC.
84	01-2004-00106	PNB SECURITIES, INC.
85	01-2004-00107	PREMIUM SECURITIES, INC.
86	01-2004-00110	QUALITY INVESTMENTS & SECURITIES CORP.
87	01-2004-00111	R & L INVESTMENTS, INC.
88	01-2004-00112	R. COYIUTO SECURITIES, INC. ●BAGUIO CITY
89	01-2004-00113	R. NUBLA SECURITIES, INC.
90	01-2004-00114	R.S. LIM & COMPANY, INC.
91	01-2004-00115	RCBC SECURITIES, INC.
92	01-2004-00116	REGINA CAPITAL DEVELOPMENT CORPORATION ●BAGUIO CITY ●CAGAYAN DE ORO CITY ●GREENHILLS, SAN JUAN CITY ●ILOILO CITY ●ORTIGAS CENTER, PASIG CITY
93	01-2004-00117	RTG & CO., INC.
94	01-2004-00118	S.J. ROXAS & CO., INC.
95	01-2004-00109	SALISBURY BKT SECURITIES CORPORATION
96	01-2004-00120	SARANGANI SECURITIES, INC.
97	01-2004-00121	SB EQUITIES, INC.
98	01-2004-00122	SECURITIES SPECIALISTS, INC.
99	01-2004-00124	SINCERE SECURITIES CORPORATION
100	01-2004-00125	SOLAR SECURITIES, INC.
101	01-2004-00126	STANDARD SECURITIES CORPORATION
102	01-2012-00276	STAR ALLIANCE SECURITIES CORPORATION
103	01-2004-00127	STRATEGIC EQUITIES CORPORATION
104	01-2004-00128	SUMMIT SECURITIES, INC.
105	01-2010-00257	SUNSECURITIES, INC.
106	01-2004-00129	SUPREME STOCKBROKERS, INC.
107	01-2004-00131	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION
108	01-2013-00277	TIMSON SECURITIES INC
109	01-2004-00133	TOWER SECURITIES, INC. ●BINONDO, MANILA CITY
110	01-2004-00134	TRANS-ASIA SECURITIES, INC.
111	01-2004-00135	TRI-STATE SECURITIES, INC.
112	01-2004-00136	TRITON SECURITIES CORPORATION
113	01-2004-00137	UBS SECURITIES PHILIPPINES, INC.
114	01-2004-00138	UCPB SECURITIES, INC.
115	01-2004-00139	UNICAPITAL SECURITIES, INC.
116	01-2004-00140	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.
117	01-2004-00141	UPCC SECURITIES CORPORATION
118	01-2004-00081	VALUE QUEST SECURITIES CORPORATION
119	01-2004-00142	VENTURE SECURITIES, INC.
120	01-2004-00143	VICSAL SECURITIES & STOCK BROKERAGE, INC.
121	01-2008-00252	VSEC.COM, INC.

COMPANY REGISTRATION AND MONITORING DEPARTMENT

**LIST OF CAPITAL MARKET INSTITUTIONS
WITH CONFIRMATION OF PAYMENT OF ANNUAL FEES FOR 2016**

BROKER/DEALER in Securities

#	CR NO.	NAME OF COMPANY
122	01-2004-00144	WEALTH SECURITIES, INC.
123	01-2004-00145	WESTLINK GLOBAL EQUITIES, INC.
124	01-2004-00146	WONG SECURITIES CORPORATION
125	01-2004-00147	YAO & ZIALCITA, INC.
126	01-2004-00149	YU & COMPANY, INC.