

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**BROKER IN SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
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SEPTEMBER 2016

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2011-06171	HERMOSURA, DONNA ISABELLE SANTOS	FIMS

AUGUST 2016

		NONE	
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JULY 2016

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2014-09437	ANG, FRANCIS ANTHONY MARAVILLA	FIMS
2	02-2013-07722	AYUGAT, ANNA LIZA BANEZ	FIMS
3	02-2009-03201	CRUZ, SER LESTER ACUNA	S
4	02-2013-07962	IROY, GARRI MARTI TAMBUNTING	FIMS

JUNE 2016

01-2004-00001		A & A SECURITIES, INC.	
1	02-2007-02272	CALAYCAY JR, JUSTINO BERNARDO	S

MAY 2016

		NONE	
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APRIL 2016

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2011-05525	ABELARDO, QUEENIE ROSE CARLOS	FIMS

MARCH 2016

01-2004-00038		DA MARKET SECURITIES, INC.	
1	02-2004-00044	ANGELES, ERWIN MILARAN	S

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SEPTEMBER 2016

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2011-06171	HERMOSURA, DONNA ISABELLE SANTOS	FIMS

FEBRUARY 2016

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2012-06652	ANDAYA, BEATRICE ANNE VASQUEZ	FIMS
2	02-2009-03224	GONZALEZ, PATRICIA ANN FLORENCIO	FIMS
3	02-2009-03273	SANTOS, MYLA ANGELA APOSTOL	FIMS
4	02-2014-09425	YBAÑEZ, SARAH OCAMPO	FIMS

JANUARY 2016

01-2004-00031		CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.	
1	02-2013-08463	JAUREGUI, GABRIEL LORENZO FRANCISCO	FIMS
2	02-2013-07695	LIM, TAMMIE ORTIZ	FIMS