

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**MUTUAL FUND DISTRIBUTOR  
NEW REGISTRANTS**

#	CR #	NAME	TYPE
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**SEPTEMBER 2016**

<b>01-2004-00031</b>		<b>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.</b>	
1	02-2011-06171	HERMOSURA, DONNA ISABELLE SANTOS	CIS

**AUGUST 2016**

		NONE	
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**JULY 2016**

<b>01-2008-00210</b>		<b>BPI CAPITAL CORP.</b>	
1	02-2016-12207	BERNABE, DIANA LUZ DE DIOS	AP
<b>01-2004-00031</b>		<b>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.</b>	
1	02-2014-09437	ANG, FRANCIS ANTHONY MARAVILLA	CIS
2	02-2013-07722	AYUGAT, ANNA LIZA BANEZ	CIS
3	02-2013-07962	IROY, GARRI MARTI TAMBUNTING	CIS
<b>01-2014-00033</b>		<b>COL FINANCIALS GROUP, INC.</b>	
1	02-2004-01382	RODRIGUEZ, JANET RACHO	CIS

**JUNE 2016**

		NONE	
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**MAY 2016**

<b>01-2004-00033</b>		<b>COL FINANCIALS GROUP, INC.</b>	
1	02-2016-11932	DE LEON, MADONNA DABUCOL	CIS
2	02-2016-11933	MARTINEZ, EDMUND DANIEL PAGTALUNAN	CIS

**APRIL 2016**

<b>01-2004-00031</b>		<b>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.</b>	
1	02-2011-05525	ABELARDO, QUEENIE ROSE CARLOS	CIS

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**SEPTEMBER 2016**

<b>01-2004-00031</b>		<b>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.</b>	
1	02-2011-06171	HERMOSURA, DONNA ISABELLE SANTOS	CIS


**MARCH 2016**

<b>01-2004-00033</b>		<b>COL FINANCIALS GROUP, INC.</b>	
1	02-2012-07488	ARCENAS, TRISHA ROSARY FRIAL	CIS
2	02-2004-01724	DIAZ, FRANCIS PATRICK TAYENGCO	CIS
3	02-2015-10978	GANHINHIN, IRA PEREZ	CIS

**FEBRUARY 2016**

<b>01-2004-00031</b>		<b>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.</b>	
1	02-2012-06652	ANDAYA, BEATRICE ANNE VASQUEZ	CIS
2	02-2009-03224	GONZALEZ, PATRICIA ANN FLORENCIO	CIS
3	02-2009-03273	SANTOS, MYLA ANGELA APOSTOL	CIS
4	02-2014-09425	YBANEZ, SARAH OCAMPO	CIS
<b>01-2004-00033</b>		<b>COL FINANCIALS GROUP, INC.</b>	
1	02-2011-05792	MARIPOSA, MARK JASON CHUA	CIS

**JANUARY 2016**

<b>01-2004-00033</b>		<b>COL FINANCIALS GROUP, INC.</b>	
1	02-2014-08799	NOYNAY, JOSEPH BRIAN SERRANO	CIS