

COMPANY REGISTRATION AND MONITORING DEPARTMENT

**LIST OF CAPITAL MARKET PROFESSIONALS
WITH CONFIRMATION OF PAYMENT OF ANNUAL FEES FOR THE YEAR 2017**

INVESTMENT HOUSE

#	NAME	TYPE OF REGISTRATION
	<u>ABACUS CAPITAL & INVESTMENT CORPORATION</u>	
1	MANLANGIT, ROSALINDA DEL ROSARIO	Compliance Officer
	<u>ASIAN ALLIANCE INVESTMENT CORPORATION</u>	
1	ESTAGLE, ANNAFE AGNES IGNACIO	Associated Person
2	SERAFICA, AUGUSTO CRUZ	Compliance Officer
	<u>BA INVESTMENTS AND HOLDINGS, INC.</u>	
1	ALCARAZ, PEPITO LAGUNAY	Associated Person
	<u>CITICORP CAPITAL PHILIPPINES, INC.</u>	
1	DEE, GABRIEL ANG	Compliance Officer
	<u>CLSA EXCHANGE CAPITAL, INC.</u>	
1	LAFUENTE, MARINELA BERNARDO	Compliance Officer
	<u>INSULAR INVESTMENT CORPORATION</u>	
1	DE JESUS, RENATO SENGSON	Compliance Officer
	<u>INTERNATIONAL CAPITAL CORPORATION</u>	
1	CASIPIT, MARIO IGNACIUS VILA	Compliance Officer
	<u>INVESTMENT & CAPITAL CORPORATION OF THE PHILIPPINES</u>	
1	TORRES, MA. CAROLINE GOMEZ	Compliance Officer
	<u>MAYBANK ATR KIM ENG CAPITAL PARTNERS, INC.</u>	
1	BOLAZO, NUMERIANO BARBA	Compliance Officer
	<u>PBC CAPITAL INVESTMENT CORPORATION</u>	
1	PINGOL, ANNA LISSA CHAN	Compliance Officer
	<u>PENTA CAPITAL & INVESTMENT CORPORATION</u>	
1	BAGACONZA, OLYMPIO DIAZ	Compliance Officer

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#	NAME	TYPE OF REGISTRATION
	<u>PHILIPPINE REGIONAL INVESTMENT DEVELOPMENT CORPORATION</u>	
1	SAN DIEGO, JOAQUIN ELUMBA	Compliance Officer
	<u>PNB CAPITAL AND INVESTMENT CORPORATION</u>	
1	COBARRUBIAS, CYNTHIA SAMAR	Compliance Officer
	<u>SB CAPITAL INVESTMENT CORPORATION</u>	
1	AGUILAR, LEAH MOLINYAWE	Compliance Officer
	<u>STATE INVESTMENT TRUST, INC.</u>	
1	BALABAT, APRIL JOY PRADEL	Compliance Officer
	<u>UBS INVESTMENTS PHILLIPPINES INC.</u>	
1	AGUILAR, NIÑA FEREN ALQUIROZ	Compliance Officer
2	CACHO, LORENA INOCENCIO	Compliance Officer