

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**BROKER/DEALER in Securities
NEW REGISTRANTS**

#	CR #	NAME	TYPE
SEPTEMBER 2017			
01-2004-00011		AP SECURITES INCORPORATED	
1	02-2013-08354	HERNANDEZ, RAPHAEL JOURDAN MACALINDONG	S
01-2004-00034		CITISECURITIES, INC.	
1	02-2017-13038	YU, MICHELLE ANGELINE NG	S
01-2011-00274		CREDIT SUISSE SECURITIES (PHILIPPINES) INC.	
1	02-2015-10474	VAZQUEZ, ROSANA AMRIA LUISA DUAVIT	S
01-2004-00046		EASTERN SECURITIES DEVELOPMENT CORPORATION	
1	02-2016-12426	ANSAY, BEVERLY LOPEZ	S
01-2004-00091		MDR SECURITIES INC.	
1	02-2004-00350	GARCIA, MA. RAQUEL RECTO	S
01-2004-00104		PHILIPPINE EQUITY PARTNERS, INC.	
1	02-2009-03550	PALANCA, PATRICIA JESSICA RANOLA	S
01-2004-00007		PHILSTOCKS FINANCIAL, INC.	
1	02-2014-09566	DE RAMOS, MARK ARTHUR SALAYSAY	S
01-2013-00277		TIMSON SECURITIES, INC.	
1	02-2016-11566	DE CELIS, JERVIN SAN LUIS	S
01-2004-00138		UCPB SECURITIES, INC.	
1	02-2013-07826	MAGSINO, ARNEL GABRIEL MARTIN LAYUG	S

AUGUST 2017

01-2004-00009		ALPHA SECURITIES CORPORATION	
1	02-2016-11565	CORPUZ, RENZ ANGELO PAREL	S
01-2004-00132		DW CAPITAL INC.	
1	02-2010-05185	WONG, DIANNE NGO	AP
01-2004-00019		MAYBANK ATR KIM ENG SECURITIES, INC.	
1	02-2011-05747	DELFIN, ADRIAN SIERVO	S
01-2004-00121		SB EQUITIES INC.	
1	02-2017-12515	DELA CRUZ, JUAN PAULO RAMOS	S
01-2004-00139		UNICAPITAL SECURITIES, INC.	
1	02-2017-13024	SANTARINA, MARCH CHRISTIAN VALMONTE	S

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JULY 2017

01-2004-00005		ABACUS SECURITIES CORPORATION	
1	02-2006-01992	PONO, MA. ARACELI DOPENO	AP
01-2004-00011		AP SECURITIES INCORPORATED	
1	02-2017-12997	SALVATIERRA, KATRINA MARIE DE GUZMAN	S
01-2004-00033		COL FINANCIAL GROUP, INC.	
1	02-2017-12831	SO, MARIAN CATHLEEN CHUA	S
2	02-2017-12995	MONTERO, MARY MARGARET JINANG	S
01-2004-00045		EAGLE EQUITIES, INC.	
1	02-2012-06501	MARTINEZ, FERNANDO BORJA	S
01-2004-00091		MDR SECURITIES INC.	
1	02-2004-00577	MANUEL, AMALIA LOURDES RECTO	AP
01-2004-00100		PAPA SECURITIES CORPORATION	
1	02-2012-07398	SYQUIATCO, KRIZIA ANN SHU	S
01-2004-00107		PREMIUM SECURITIES, INC.	
1	02-2012-06892	TENG, CHRISTINE SY	S

JUNE 2017

01-2004-00016		ASIASEC EQUITIES, INC.	
1	02-2013-08615	DELA CRUZ, VONN HANS HU	S
01-2004-00018		ATC SECURITIES, INC.	
1	02-2005-01946	LORICA, ABIGAIL CARLOS	AP
01-2004-00034		CITISECURITIES, INC.	
1	02-2017-12940	JAYOMA, RALPH JAN INDANGAN	S
01-2004-00033		COL FINANCIAL GROUP, INC.	
1	02-2017-12757	GONZAGA, LUIS LORENZO III CALDERON	S
2	02-2011-05350	MISA, MARIA NAOMI ANTONIO	S
01-2004-00051		F. YAP SECURITIES, INC.	
1	02-2004-00687	RAFAEL, PEÑAFLORES JR. CRUZ	S
01-2004-00095		FIRST METRO SECURITIES BROKERAGE CORPORATION	
1	02-2017-02889	BELTRAN, ANDRO LEO ILLESCAS	S
2	02-2015-10572	GALVEZ, VANESSA ELAINE PANLILIO	S
3	02-2017-12759	NOGRALES, MARSEILLE NAVARRO	S
01-2004-00075		INTRA INVEST SECURITIES INCORPORATED	

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1	02-2008-02696	SAN JOAQUIN, MARK ANTHONY DEL ROSARIO	AP
01-2004-00007		PHILSTOCKS FINANCIAL, INC.	
1	02-2017-12795	TAN, RAY GERALD GUILLERMO	S
01-2004-00137		UCPB SECURITIES, INC.	
1	02-2017-12899	ONG, JEAN RITUMALTA	AP

May 2017

01-2004-00005		ABACUS SECURITIES CORPORATION	
1	02-2017-12834	BELEN, MARJORIE DOÑOS	S
2	02-2017-12835	DELA RAPA, ANN GLORAINE DE VERA	S
01-2004-00102		BDO NOMURA SECURITIES, INC.	
1	02-2017-12755	NAGASHIMA, RYO	S
01-2004-00034		CITISECURITIES, INC.	
1	02-2017-12846	JOSEPH, KIM ELYZA ROBLES	S
01-2004-00036		COHERCO SECURITIES INC.	
1	02-2017-12851	MACUGAY, CRISTER CARMEN	S
01-2004-00033		COL FINANCIAL GROUP, INC.	
1	02-2017-12849	GUE, DIANA TIONGCO	S
2	02-2016-11933	MARTINEZ, EDMUND DANIEL PAGTALUNAN	S
3	02-2017-12827	TAN, FLORENCE THERESE ANGKANG	S
4	02-2017-12850	TAN, MYLES JACOB SALAZAR	S
5	02-2017-12750	YCO, KRISTINE DIANE MACARAIG	S
	02-2008-02765	YU, RONALD MARTIN LIM	S
01-2004-00050		EVERGREEN STOCK BROKERAGE & SECURITIES INCORPORATED	
1	02-2011-05210	CHOA-SHI VASSY VERONICA SALVADOR	S
01-2004-00052		FIDELITY SECURITIES, INC.	
1	02-2017-12847	SANTILLAN, RHEA MARI CUNANAN	S
01-2004-00068		HDI SECURITIES INC	
1	02-2017-12832	MORALES, PATRICK CHRISTIAN GARCIA	S
01-2004-00019		MAYBANK ATR KIM ENG SECURITIES, INC.	
1	02-2013-07578	ROSAÑES, ANDREW TEE	S
01-2004-00007		PHILSTOCKS FINANCIAL, INC.	
1	02-2007-02272	CALAYCAY, JUSTINO JR. BERNARDO	S
2	02-2017-12759	PANIS, RYAN REY CUSTODIO	S
01-2004-00120		SARANGANI SECURITIES, INC.	

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1	02-2017-12700	GARRIDO, JASMIN SIBAL	AP
01-2004-00121		SB EQUITIES INC.	
1	02-2004-00141	NOUVELOT, MAE LEE	S
01-2004-00122		SECURITIES SPECIALISTS, INC.	
1	02-2017-12848	TYCHICO, KRISTIN	S
01-2013-00277		TIMSON SECURITIES, INC.	
1	02-2015-10954	ANG, NICOLE KELVIN SY	S
01-2004-00137		UBS SECURITIES PHILIPPINES, INC.	
1	02-2013-08606	CACHO, LORENA INOCENCIO	AP
2	02-2017-12767	DIAZ, CHRISTIAN CARL PEREZ	AP
01-2004-00142		VENTURE SECURITIES, INC.	
1	02-2017-12757	FAVOR, MA. XY-ZA ELECTCIA FOZ	S

April 2017

01-2004-00027		BPI SECURITIES CORP.	
1	02-2017-12670	LEGASPI, RONALD LOPEZ	S
01-2004-00035		CLSA PHILIPPINES, INC.	
1	02-2017-12691	DY, ANDRE MIKAEL LU	S
01-2004-00041		DEUTSCHE REGIS PARTNERS, INC.	
1	02-2017-12688	GONZALEZ, ANNA BERNADETTE ESCUETA	S
2	02-2016-11957	FAUSTO, JOSE ENRIQUE FRES	S
01-2004-00007		PHILSTOCKS FINANCIAL, INC.	
1	02-2017-12683	PHI, PACIFICA ONG	S
01-2004-00106		PNB SECURITIES, INC.	
1	02-2017-12690	SALVADOR IV, DAN SILVA	S

MARCH 2017

01-2004-00004		AB CAPITAL SECURITIES, INC.	
1	02-2008-02681	SUNDIAM, ROWENA MANUEL	S
01-2004-00011		AP SECURITES INCORPORATED	
1	02-2017-12648	DELA CRUZ, LAICA CAMILLE ANCHETA	S
2	02-2016-11559	SANTOS, SARAH NICOLE TIU	S
01-2004-00027		BPI SECURITIES CORP.	
1	02-2016-11557	GO, MALCOLM MILTON TELOON	S
01-2004-00033		COL FINANCIAL GROUP, INC.	

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1	02-2017-12649	MONTELIBANO, MARK EMMANUEL SANDOVAL	S
01-2004-00095		FIRST METRO SECURITIES BROKERAGE CORPORATION	
1	02-2017-12647	ENCARNACION, RHIZZA MAE PILI	S
2	02-2013-08083	NAVARRO, CARLOS ROBERTO QUERUBIN	S
01-2004-00082		KING'S POWER SECURITIES, INC.	
1	02-2017-12638	SY, JOHN TIMOTHY MARTINEZ	S
01-2004-00093		MERIDIAN SECURITIES INC.	
1	02-2017-12615	RANIS, MARIA PERPETUA MATURAN	S
2	02-2010-04608	VALDEZ, RICHARD SALINAS	S
01-2004-00112		R. COYIUTO SECURITIES INC.	
1	02-2006-02069	LITAP, PERFECTO JR. SALUPEN	AP
01-2004-00139		UNICAPITAL SECURITIES, INC.	
1	02-2016-11514	SEE, JEFF RADLEY CHUA	S
01-2016-00281		VC SECURITIES CORPORATION	
1	02-2016-12353	ARMADA, EVELYN ICAMEN	S
01-2004-00144		WEALTH SECURITIES, INC.	
1	02-2013-07553	BAAL, GENALYN BERBOSO	S

FEBRUARY 2017

01-2016-00280		INFINITY TRADERS SECURITIES CORP.	
1	02-2017-12531	FRANCISCO, DANIEL RIVAS	S
01-2004-00004		AB CAPITAL SECURITIES, INC.	
1	02-2017-12602	SY, MARVINE LAWRENCE CAYME	S
2	02-2017-12603	VILLAR, MARGA THERESE IBAYAN	S
01-2004-00102		BDO NOMURA SECURITIES, INC.	
1	02-2017-12594	DECENA, KRISTOPER ISRAEL ALFORJA	S
01-2004-00024		BELSON SECURITIES, INC.	
1	02-2017-12555	BALON, MARK AMADEO BARING	S
01-2004-00027		BPI SECURITIES CORP.	
1	02-2017-12604	CANTOS, KARISHA SALINAS	S
2	02-2017-12606	CAPILI, FERLIE MARIZ EMPANIA	S
3	02-2017-12605	CASTILLO, CESAR DENSING	S
01-2004-00033		COL FINANCIAL GROUP, INC.	
1	02-2015-10955	DANDAN, KYLE JOSHUA COLLANTES	S
2	02-2017-12552	REYES, RON JAMIE JAVIER	S
01-2004-00093		MERIDIAN SECURITIES INC.	

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1	02-2010-04401	CHIONGBIAN-HUANG, MARY BRIDGET MUÑOZ	S
01-2004-00104		PHILIPPINE EQUITY PARTNERS, INC.	
1	02-2013-08183	PALOMO, ABIGAIL DAVIS	S
01-2004-00007		PHILSTOCKS FINANCIAL, INC.	
1	02-2017-12595	NEVADO, WILLIAM CLEOFAS	S
01-2004-00113		R. NUBLA SECURITIES, INC.	
1	02-2014-09975	PE, GERALD RATIO	S
01-2004-00131		THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	
1	02-2014-09964	CENTINO, EARL CLUGH	S
01-2004-00139		UNICAPITAL SECURITIES, INC.	
1	02-2007-02226	BASCO, FLORENTINO DE LEON	AP

JANUARY 2017

01-2004-00004		AB CAPITAL SECURITIES, INC.	
1	02-2017-12513	MONTELLANO, CHRISTIAN JOSEPH DELGADO	AP
2	02-2004-00292	DIPASUPIL, LOURDES CELESTIAL GOMEZ	S
01-2004-00027		BPI SECURITIES CORP.	
1	02-2014-09563	MACAPAGAL, JANICE ABELLANEDA	S
01-2004-00033		COL FINANCIAL GROUP, INC.	
1	02-2011-06172	SANTIAGO, REGINALD ANGELO HERNANDEZ	S
2	02-2013-08356	TSAL, PATRICK VINCENT UY	S
01-2004-00087		LUCKY SECURITIES, INC.	
1	02-2004-00968	ROA, ELVIRA BIONG	AP
01-2004-00104		PHILIPPINE EQUITY PARTNERS, INC.	
1	02-2017-12527	ILAGAN, PATRICIA VICTORIA GATMAITAN	S
2	02-2004-00722	ROXAS, LORENZO ANDRES TAÑADA	S
01-2004-00007		PHILSTOCKS FINANCIAL, INC.	
1	02-2015-11219	MORALES JR, GENECIO CATINDIG	S
01-2004-00106		PNB SECURITIES, INC.	
1	02-2004-00017	ALFONSO, BELMA ATIENZA	S
01-2004-00110		QUALITY INVESTMENTS & SECURITIES CORPORATION	