

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**INVESTMENT HOUSE
NEW REGISTRANTS**

#	CR #	NAME	TYPE
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SEPTEMBER 2017

		NONE	
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AUGUST 2017

		NONE	
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JULY 2017

		NONE	
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JUNE 2017

		NONE	
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MAY 2017

01-2008-00236		SB CAPITAL INVESTMENT CORPORATION	
1	02-2011-05336	DEZA, MA. LAWRYN DE LEON	CO

APRIL 2017

		NONE	
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MARCH 2017

		NONE	
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FEBRUARY 2017

		NONE	
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JANUARY 2017