

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
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SEPTEMBER 2017

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2015-10112	CORDERO, CARMELA YSABELLE JAVELLANA	FIMS
2	02-2017-13034	LIMBAUAN, LOIS BIANCA AGDA	FIMS
01-2008-00186		DEUTSCHE BANK AG	
1	02-2010-04412	GALANG, MARY ELIZABETH MENDOZA	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2008-02547	AZARCON, ROSA MAE ARANETA	FIMS
2	02-2014-09190	CALUPAZ, MARIA KRISTEL CHUA	FIMS

AUGUST 2017

01-2008-00242		ING BANK N.V. MANILA BRANCH	
1	02-2012-07281	BAUTISTA, MARI TONI SANCHEZ	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2017-13018	SUMADCHAT, DON VELASCO	AP

JULY 2017

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2009-02967	GARCIA, JODETTE POLICARPIO	FIMS
2	02-2009-02985	SALITA, CHRISTIAN BRACEROS	FIMS
01-2008-00187		EASTWEST BANKING CORPORATION	
1	02-2011-06442	MARIANO, CARLO ALLAN SALAY	FIMS
01-2008-00242		ING BANK N.V. MANILA BRANCH	
1	02-2009-03208	DE OCAMPO, VICTOR JOVENAL PUJOL	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2017-13011	ENOZA, CRISTINE EMPAYNADO	FIMS

JUNE 2017

01-2008-00187		EASTWEST BANKING CORPORATION	
1	02-2017-12944	GANAN, JUAN LORENZO VAQUER	FIMS
2	02-2012-06583	LORENZO, ANTONIO MIGUEL GASA	FIMS
3	02-2017-12986	LU, CHARISSE GANGOSO	FIMS
4	02-2017-12890	MARQUEZ, AUGUSTO LUIS SARMIENTO	FIMS
5	02-2011-05745	TANTEKO, EDELYNNE CAMILLE SAMSON	FIMS

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01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2017-12892	EJANDA, CRISTOPHER MARQUEZ	FIMS
2	02-2017-12897	SIMANGAN, RUBILYN CORPUZ	FIMS

MAY 2017

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2009-03411	GO, DESIREE JADE TAN	FIMS
01-2008-00242		ING BANK N.V. MANILA BRANCH	
1	02-2017-12701	NG, GRANT REGINALD UY	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2017-12814	BASSIG, CRISTINA PARADO	FIMS
2	02-2009-03290	UMALI, MARIE NOELLE VENTURINA	FIMS
01-2008-00246		UNION BANK OF THE PHILIPPINES	
1	02-2017-12772	ARCANO, KENNETH RALPH BALADJAY	FIMS
2	02-2017-12773	VILLAMAR, JOHN RAY CANILANG	FIMS
3	02-2017-12774	ZABALLERO, ANA MARIA MICHAELA LAREDO	FIMS
01-2008-00427		UNITED COCONUT PLANTERS BANK	
1	02-2017-12775	AGTOCA, SALVADOR III SYTANCO	FIMS
2	02-2017-12776	ALFAR, MARIA FE POLOYAPOY	FIMS
3	02-2017-12702	AMIL, MARIA HEDWIG JOY CAMPS	FIMS
4	02-2017-12777	BAUTISTA, MA. JASMIN ARANDIA	FIMS
5	02-2017-12703	BURGOS, KEITHLEY ERIC GOMAYA	FIMS
6	02-2017-12778	BUSTAMANTE, LYRA MAY CABATINGAN	FIMS
7	02-2017-12854	CAPULONG, GRACE TACA	FIMS
8	02-2017-12779	DE DIOS, CHERRY CLEMENTE	FIMS
9	02-2017-12784	DE MENDOZA, CHRISTINA TAYARANI DAGHIGH	FIMS
10	02-2017-12780	ESTRADA, GEOFFREY ORCULLO	FIMS
11	02-2017-12704	GOMEZ, JOSE JERIC ESPIRITU	FIMS
12	02-2017-12853	LOPEZ, MARY GRACE BULALACAO	FIMS
13	02-2017-12781	MARAVILLA, MARIA TERESA SIA	FIMS
14	02-2017-12855	MIRASOL, JASMIN BAUTISTA	FIMS
15	02-2017-12705	PINEDA, MARY GAIL TUPAZ	FIMS
16	02-2017-12782	SABIDO, CAROLYN CAW	FIMS
17	02-2017-12783	SABINO, GRACE SANGALANG	FIMS
18	02-2017-12785	SACRO, MA. DINAH VALDEZ	FIMS
19	02-2017-12852	SICAT, LORNA DALANGIN	FIMS
20	02-2017-12706	SOMERA, GENMER SALANGA	FIMS
21	02-2017-12786	TABORA, ADRIAN SANTOS	FIMS
22	02-2017-12707	TORRENUEVA, VINA LUZ NEREN TABIADO	FIMS
23	02-2017-12787	VILLANUEVA, NATALIE REYES	FIMS
24	02-2017-12788	YANG, MARIA FARINA FERMIN	FIMS
			FIMS

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APRIL 2017

01-2005-00164		DEVELOPMENT BANK OF THE PHILIPPINES	
1	02-2017-12684	NAVARRO, ALYSSA JOY MANUEL	FIMS
2	02-2017-12685	TUAZON, BRYAN RAMON MANALO	FIMS
01-2008-00244		STANDARD CHARTERED BANK	
1	02-2012-06991	GARCIA, JAZMINE COSTALES	S
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2014-08679	KOH, STEPHEN JOHN SOLIMAN	FIMS
01-2008-00247		UNITED COCONUT PLANTERS BANK	
1	02-2009-04184	SANTIAGO, MARTIN VOLTAIRE MARQUEZ	FIMS

MARCH 2017

01-2008-00176		ASIA UNITED BANK CORPORATION	
1	02-2017-12523	SANTOS, CYNTHIA PARAS	CO
01-2008-00241		CHINA BANKING CORPORATION	
1	02-2009-04251	BAKING, LOVE VIRGILYNN TAN	FIMS
01-208-00247		UNITED COCONUT PLANTERS BANK	
1	02-2013-07618	GAVARRA, MICHELLE BOÑAGA	FIMS

FEBRUARY 2017

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2014-08663	PEORNATO, MARIE JO JIMENEA	FIMS
01-2005-00164		DEVELOPMENT BANK OF THE PHILIPPINES	
1	02-2017-12608	ADIONG, SORAYA FLORENDO	CO
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2011-05865	BUMANGLAG, SUZETTE TINIO	FIMS
01-2008-00246		UNION BANK OF THE PHILIPPINES	
1	02-2017-12612	SEVERINO, STEPHEN KARLO LADO	FIMS
01-2008-00247		UNITED COCONUT PLANTERS ANK	
1	02-2014-10089	AMADOR, CATHERINE JOY DELA CRUZ	FIMS

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JANUARY 2017

01-2005-00164	DEVELOPMENT BANK OF THE PHILIPPINES	
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