



## ENFORCEMENT AND INVESTOR PROTECTION DEPARTMENT

### SEC ADVISORY

This is to inform the public that **THE TIPSY TAVERN** is NOT AUTHORIZED TO SOLICIT INVESTMENTS FROM THE PUBLIC.

Based on the reports and information gathered by the Commission, **THE TIPSY TAVERN**, located at G 114 AEON Complex, Tinajeros, Malabon City, through its representative **DANIELLE LANCE ALARCON** is actively enticing (through **WISTERIA LANE/WSTERIA LANE SUITES'** Facebook page) the public to invest in its bar/events place business.

Accordingly, an investor (for **THE TIPSY TAVERN**) for a minimum amount of Php 500,000.00 would earn a monthly passive income of Php 25,000.00 to 50,000.00. A partner-investor in **THE TIPSY TAVERN** will also be entitled to the following perks, namely: 1.) 10% discount in investor's total bill when he/she visits **THE TIPSY TAVERN** bar; 2.) 15% discount for renting the events place; and 3.) the investor also earns a 2.5% commission for each successful referral he made.



(BAR) **WE ARE EXPANDING AGAIN**

UNLOCK BAR OWNERSHIP WITHOUT THE HASSLE! WE ARE OPEN FOR INVESTOR! LIMITED SLOT ONLY!

 Perks of being our investor:

- ✔ Earn 5% Interest per month
- ✔ 10% off on your total bill when you visit
- ✔ 15% off for renting our events place
- ✔ 2.5% referral commission
- ✔ DTI REGISTERED

 

**Aeon Complex Gov. Pascual Avenue,  
Tinajeros Malabon City**

 **Wisteria Lane Suites**  
November 25, 2023 · 🌐

For 500K pwede ka na mag ka Bar or Events Place! ✨

Gusto mag Bar/Events Place Business pero hindi kaya ng capital? Good news because we are looking for investors! Another expansion for Wisteria Lane! ✨

UNLOCK BAR/EVENTS PLACE OWNERSHIP WITHOUT THE HASSLE! Enjoy our perks while earning 25,000-50,000 passive income every month!

WE ARE LOOKING FOR 10 INVESTORS WITH 500K investment who will be part of our new business in Wisteria Lane. Aside from the Airbnb business in Azure we are also venturing into Bar business ❤️

- ✔ With Notarized Contract
- ✔ With PDC
- ✔ Proof of Legitimacy
- ✔ With over 80+ previous and existing investors
- ✔ 4 Existing Airbnb Units in Azure
- ✔ DTI REGISTERED

Perks of being our investor:

- ✔ Earn 5% interest per month
- ✔ 10% off on your total bill when you visit
- ✔ 15% off for renting our events place
- ✔ 2.5% commission from each successful referral

In this regard, an “*investment contract*,” a form of security, exists when there is an investment or placement of money in a common enterprise with a reasonable expectation of profits to be derived from the efforts of others which is prominent in the scheme of **THE TIPSY TAVERN**.

As such, the Securities Regulation Code (SRC) requires that said offer and/or sale of securities must be duly registered with the Commission and that the concerned entity and/or its agents should have the appropriate registration and/or license to sell such securities to the public.

Based on the Commission’s database, **THE TIPSY TAVERN**, is **NOT REGISTERED** as a corporation or partnership and **OPERATES WITHOUT THE NECESSARY LICENSE AND/OR AUTHORITY** to solicit, accept or take investments/placements from the public nor to issue investment contracts and other forms of securities defined under Section 3 of the Securities Regulation Code (SRC).

The scheme employed by, **THE TIPSY TAVERN** has the characteristics of a “**Ponzi Scheme**” where money from new investors are used in paying “fake profits” to prior investors and is designed mainly to favor its top recruiters and prior risk takers and is detrimental to subsequent members in case of scarcity of new investors.

The offering and selling of securities in the form of investment contracts using the “**Ponzi Scheme**” which is fraudulent and unsustainable, is **NOT** a registrable security. The Commission will not issue a License to Sell Securities to the Public to persons or entities that are engaged in this business or scheme.

Moreover, the Financial Products and Services Consumer Protection Act prohibits the commission of “Investment fraud”, defined as any form of deceptive solicitation of investments from the public which includes Ponzi schemes and such other schemes involving the promise or offer of profits or returns sourced from the investments or contributions made by the investors themselves and the offering or selling of investment schemes to the public without a license or permit from the SEC.

In view thereof, the public is hereby advised **NOT TO INVEST** or to **STOP INVESTING** in the investment scheme being offered by **THE TIPSY TAVERN** as well as to any other entities having the same or similar schemes and to exercise caution in dealing with any individuals or group of persons soliciting investments or recruiting investors for and on behalf of **THE TIPSY TAVERN**.

Those who act as salesmen, brokers, dealers or agents, representatives, promoters, uplines, recruiters, influencers, endorsers and enablers of **THE TIPSY TAVERN**, in selling or convincing people to invest in the investment scheme being offered by the said entity including soliciting investments or recruiting investors through the internet may be held criminally liable under Section 11 of the Financial Products and Services Consumer Protection Act (FCPA) and under Section 28 of the SRC which are both penalized separately with a **maximum fine of Five Million Pesos (Php5,000,000.00) or imprisonment of Twenty One (21) years or both pursuant to Section 73 of the SRC** (*SEC vs. Oudine Santos, G.R. No. 195542, 19 March 2014*).

Furthermore, the names of all those involved will be reported to the Bureau of Internal Revenue (BIR) so that the appropriate penalties and/or taxes be correspondingly assessed.

Should you have any information regarding any investment solicitation by any individual or group of individuals on behalf of **THE TIPSY TAVERN**, please send your report through email at [epd@sec.gov.ph](mailto:epd@sec.gov.ph).

For the information and guidance of the public.

Makati City, 18 January 2024.