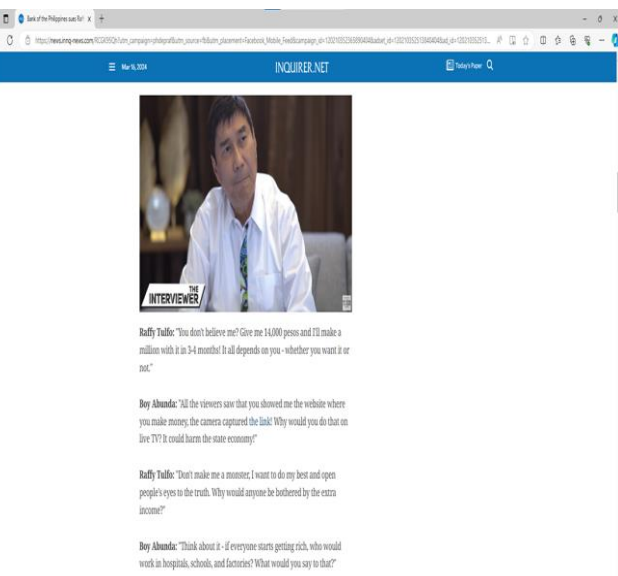
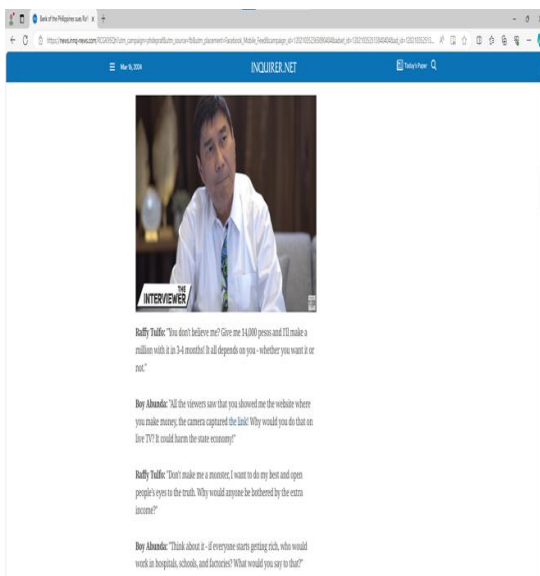
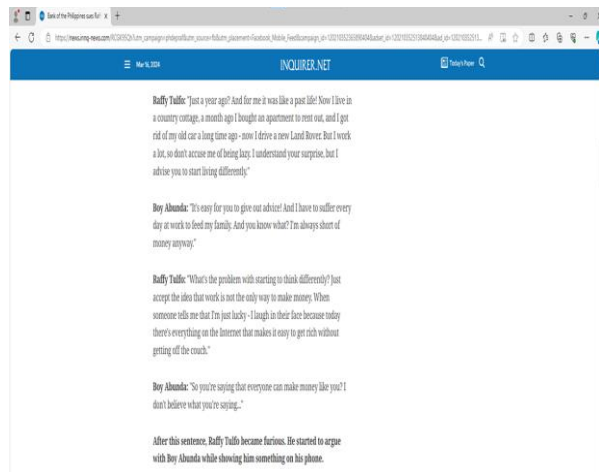
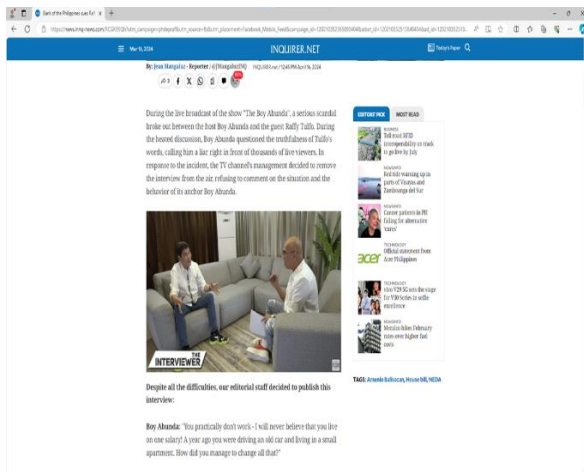


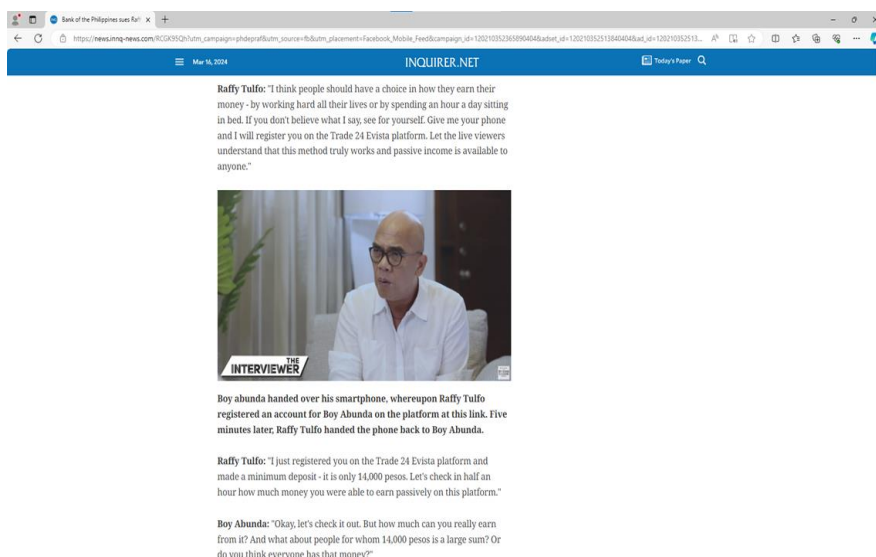
## ENFORCEMENT AND INVESTOR PROTECTION DEPARTMENT

### SEC ADVISORY

The Commission hereby warns the public that a certain entity operating under the name **TRADE 13.0 SERAX** is soliciting investments in the Philippines **WITHOUT THE NECESSARY LICENSE AND/OR AUTHORITY** from the Commission.

**TRADE 13.0 SERAX** is using **FABRICATED** or **FAKE** postings online containing scripts with statements allegedly from Senator Raffy T. Tulfo and Boy Abunda where it is made to appear that Senator Tulfo is endorsing **TRADE 13.0, SERAX**, to wit:





The minimum deposit amount with **TRADE 13.0 SERAX** is US\$250 or Php14,000.00 in order to access the platform's features after which auto-trading in cryptocurrencies will commence. The scheme claims that investors can earn millions within 3-4 months without doing anything but invest and wait.

The Commission has received information that **TRADE 13.0 SERAX** is likewise operating under the new name "**TRADE 24 EVISTA**".

Again, the public is warned of such possible "**Ponzi Scheme**" similarly devised like other cryptocurrency scams which systems or structures are based and/or relative to the offering and selling of securities, in the form of investment contracts, to the public due to their promise of lucrative passive income.

Therefore, the Securities Regulation Code (SRC) requires that these securities are duly registered and that the concerned entities and/or its agents have appropriate registration and/or license to sell such securities to the public pursuant to Section 8 and 28 of the SRC.

Based on the Commission's database, **TRADE 13.0 SERAX** and **TRADE 24 EVISTA** are **NOT REGISTERED** and are **NOT AUTHORIZED** to solicit, accept or take investments/placements from the public nor to issue investment contracts and other forms of securities defined under Section 3 of the Securities Regulation Code (SRC).

As such, the public is advised **NOT TO INVEST** or **STOP INVESTING** in any scheme offered by **TRADE 13.0 SERAX** and **TRADE 24 EVISTA** that promises ridiculous rates of return with little or no risk. As **TRADE 13.0 SERAX** and **TRADE 24 EVISTA** can easily change names, the public is further warned to be extra cautious and **NOT TO INVEST** in any scheme similar to the one offered by said entities or any other entities engaged in digital asset trading which promises ridiculous rates of return with little or no risk.

As always, the public is reminded to be cautious of any and all false and/or misleading investment opportunities offered by dubious entities such as **TRADE 13.0 SERAX** and **TRADE 24 EVISTA** that are rampant online.

Thus, the Commission warns all unscrupulous individuals and/or entities that **strict penalties are imposed for violations of the Securities Regulation Code**,

**the Revised Corporation Code, The Financial Products and Services Consumer Protection Act and such other rules and regulations enforced by the Commission.**

Consequently, those who act as salesmen, brokers, dealers, agents, representatives, promoters, operators, recruiters, uplines, influencers, endorsers, abettors and enablers of **TRADE 13.0 SERAX** and **TRADE 24 EVISTA** in selling or convincing people to invest in their investment schemes including solicitations or recruitment through the internet may likewise be prosecuted and held criminally liable under **Section 11** of the **FCPA** and **Section 28 of the SRC** which are both **penalized separately with a maximum fine of Five Million Pesos (Php5,000,000.00) or maximum penalty of Twenty-One (21) years imprisonment or both pursuant to Section 73 of the SRC.**

In the same manner, those who invite or recruit others to join or invest in such venture or offer investment contracts or securities to the public may incur criminally liability, or otherwise be sanctioned or penalized accordingly as held by the Supreme Court in the case of SEC vs. Oudine Santos (G.R. 19542, 19 March 2014).

Should you have any information regarding the operation of the subject entity, please send your reports through our email at [epd@sec.gov.ph](mailto:epd@sec.gov.ph) or you may visit the Commission's Enforcement and Investor Protection Department (EIPD) located at the 9/F, The SEC Headquarters, 7907 Makati Avenue, Salcedo Village, Brgy. Bel-Air, Makati City

For the information and guidance of the public.

Makati City, 18 April 2024.